



Digital Signature Service Core Protocols, Elements, and Bindings

3rd Committee Draft, 29 November 2005 (WD 35)

Document identifier:

dss-v1.0-spec-cd-Core-r03.doc

Location:

<http://docs.oasis-open.org/dss/v1.0/>

Editor:

Stefan Drees, *individual* <stefan@drees.name>

Contributors:

Dimitri Andivahis, Surety
Glenn Benson, JPMorganChase
Juan Carlos Cruellas, *individual*
Frederick Hirsch, Nokia
Pieter Kasselmann, Cybertrust
Andreas Kuehne, *individual*
Konrad Lanz, Austria Federal Chancellery <Konrad.Lanz@iaik.tugraz.at>
Tommy Lindberg, *individual*
Paul Madsen, Entrust
John Messing, American Bar Association
Tim Moses, Entrust
Trevor Perrin, *individual*
Nick Pope, *individual*
Rich Salz, DataPower
Ed Shallow, Universal Postal Union

Abstract:

This document defines XML request/response protocols for signing and verifying XML documents and other data. It also defines an XML timestamp format, and an XML signature property for use with these protocols. Finally, it defines transport and security bindings for the protocols.

Status:

This is a **Committee Draft** produced by the OASIS Digital Signature Service Technical Committee. Committee members should send comments on this draft to dss@lists.oasis-open.org.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the Digital Signature Service TC web page at <http://www.oasis-open.org/committees/dss/ipr.php>.

40 Table of Contents

41	1	Introduction	5
42	1.1	Notation	5
43	1.2	Schema Organization and Namespaces	5
44	1.3	DSS Overview (Non-normative).....	6
45	2	Common Protocol Structures.....	7
46	2.1	Type AnyType	7
47	2.2	Type InternationalStringType	7
48	2.3	Type saml:NameIdentifierType	7
49	2.4	Element <InputDocuments>.....	7
50	2.4.1	Type DocumentBaseType	8
51	2.4.2	Element <Document>	9
52	2.4.3	Element <TransformedData>	10
53	2.4.4	Element <DocumentHash>.....	11
54	2.5	Element <SignatureObject>	12
55	2.6	Element <Result>.....	13
56	2.7	Elements <OptionalInputs> and <OptionalOutputs>	14
57	2.8	Common Optional Inputs	15
58	2.8.1	Optional Input <ServicePolicy>.....	15
59	2.8.2	Optional Input <ClaimedIdentity>	15
60	2.8.3	Optional Input <Language>	16
61	2.8.4	Optional Input <AdditionalProfile>	16
62	2.8.5	Optional Input <Schemas>	16
63	2.9	Common Optional Outputs.....	16
64	2.9.1	Optional Output <Schemas>	17
65	2.10	Type <RequestBaseType>	17
66	2.11	Type <ResponseBaseType>.....	17
67	2.12	Element <Response>.....	18
68	3	The DSS Signing Protocol	19
69	3.1	Element <SignRequest>	19
70	3.2	Element <SignResponse>	19
71	3.3	Processing for XML Signatures.....	20
72	3.3.1	Basic Process for <Base64XML>	20
73	3.3.2	Process Variant for <InlineXML>	21
74	3.3.3	Process Variant for <EscapedXML>.....	21
75	3.3.4	Process Variant for <Base64Data>	22
76	3.3.5	Process Variant for <TransformedData>	22
77	3.3.6	Process Variant for <DocumentHash>	22
78	3.4	Basic Processing for CMS Signatures	23
79	3.5	Optional Inputs and Outputs	24

80	3.5.1 Optional Input <SignatureType>.....	24
81	3.5.2 Optional Input <AddTimestamp>.....	24
82	3.5.3 Optional Input <IntendedAudience>.....	24
83	3.5.4 Optional Input <KeySelector>.....	25
84	3.5.5 Optional Input <Properties>.....	25
85	3.5.6 Optional Input <IncludeObject>.....	26
86	3.5.7 Optional Input <IncludeEContent>.....	27
87	3.5.8 Enveloped Signatures, Optional Input <SignaturePlacement> and Output	
88	<DocumentWithSignature>.....	27
89	3.5.9 Optional Input <SignedReferences>.....	30
90	4 The DSS Verifying Protocol.....	33
91	4.1 Element <VerifyRequest>.....	33
92	4.2 Element <VerifyResponse>.....	33
93	4.3 Basic Processing for XML Signatures.....	33
94	4.3.1 Multi-Signature Verification.....	34
95	4.4 Result Codes.....	35
96	4.5 Basic Processing for CMS Signatures.....	36
97	4.6 Optional Inputs and Outputs.....	36
98	4.6.1 Optional Input <VerifyManifests> and Output <VerifyManifestResults>.....	36
99	4.6.2 Optional Input <VerificationTime>.....	37
100	4.6.3 Optional Input <AdditionalKeyInfo>.....	37
101	4.6.4 Optional Input <ReturnProcessingDetails> and Output <ProcessingDetails>.....	37
102	4.6.5 Optional Input <ReturnSigningTime> and Output <SigningTime>.....	39
103	4.6.6 Optional Input <ReturnSignerIdentity> and Output <SignerIdentity>.....	39
104	4.6.7 Optional Input <ReturnUpdatedSignature> and Output <UpdatedSignature>.....	40
105	4.6.8 Optional Input <ReturnTransformedDocument> and Output <TransformedDocument>	
106	40
107	5 DSS Core Elements.....	42
108	5.1 Element <Timestamp>.....	42
109	5.1.1 XML Timestamp Token.....	42
110	5.1.2 Element <TstInfo>.....	43
111	5.1.3 Timestamp verification procedure.....	43
112	5.2 Element <RequesterIdentity>.....	45
113	6 DSS Core Bindings.....	46
114	6.1 HTTP POST Transport Binding.....	46
115	6.2 SOAP 1.2 Transport Binding.....	46
116	6.3 TLS Security Bindings.....	47
117	6.3.1 TLS X.509 Server Authentication.....	47
118	6.3.2 TLS X.509 Mutual Authentication.....	47
119	6.3.3 TLS SRP Authentication.....	47
120	6.3.4 TLS SRP and X.509 Server Authentication.....	48
121	7 DSS-Defined Identifiers.....	49
122	7.1 Signature Type Identifiers.....	49

123	7.1.1 XML Signature	49
124	7.1.2 XML TimeStampToken	49
125	7.1.3 RFC 3161 TimeStampToken	49
126	7.1.4 CMS Signature.....	49
127	7.1.5 PGP Signature	49
128	8 Editorial Issues.....	50
129	9 References.....	52
130	9.1 Normative	52
131	Appendix A. Use of Exclusive Canonicalization	54
132	Appendix B. More Complex <Response> Example	55
133	Appendix C. Revision History	56
134	Appendix D. Notices	59
135		

136 1 Introduction

137 This specification defines the XML syntax and semantics for the Digital Signature Service core
138 protocols, and for some associated core elements. The core protocols support the server-based
139 creation and verification of different types of signatures and timestamps. The core elements
140 include an XML timestamp format, and an XML signature property to contain a representation of
141 a client's identity.

142 The core protocols are typically *bound* into other protocols for transport and security, such as
143 HTTP and TLS. This document provides an initial set of bindings. The core protocols are also
144 typically *profiled* to constrain optional features and add additional features. Other specifications
145 are being produced which profile the core for particular applications scenarios.

146 The following sections describe how to understand the rest of this specification.

147 1.1 Notation

148 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD",
149 "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be
150 interpreted as described in IETF RFC 2119 [RFC 2119]. These keywords are capitalized when
151 used to unambiguously specify requirements over protocol features and behavior that affect the
152 interoperability and security of implementations. When these words are not capitalized, they are
153 meant in their natural-language sense.

154 This specification uses the following typographical conventions in text: <DSSElement>,
155 <ns:ForeignElement>, Attribute, **Datatype**, OtherCode.

156 Listings of DSS schemas appear like this.

157 1.2 Schema Organization and Namespaces

158 The structures described in this specification are contained in the schema file [Core-XSD]. All
159 schema listings in the current document are excerpts from the schema file. In the case of a
160 disagreement between the schema file and this document, the schema file takes precedence.

161 This schema is associated with the following XML namespace:

```
162 urn:oasis:names:tc:dss:1.0:core:schema
```

163 If a future version of this specification is needed, it will use a different namespace.

164 Conventional XML namespace prefixes are used in the schema:

- 165 • The prefix `dss:` stands for the DSS core namespace [Core-XSD].
- 166 • The prefix `ds:` stands for the W3C XML Signature namespace [XMLSig].
- 167 • The prefix `xs:` stands for the W3C XML Schema namespace [Schema1].
- 168 • The prefix `saml:` stands for the OASIS SAML Schema namespace [SAMLCore1.1].

169 Applications MAY use different namespace prefixes, and MAY use whatever namespace
170 defaulting/scoping conventions they desire, as long as they are compliant with the Namespaces
171 in XML specification [XML-ns].

172 The following schema fragment defines the XML namespaces and other header information for
173 the DSS core schema:

```
174 <xs:schema xmlns:dss="urn:oasis:names:tc:dss:1.0:core:schema"
```

```
175 xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
176 xmlns:xs="http://www.w3.org/2001/XMLSchema"
177 xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion"
178 targetNamespace="urn:oasis:names:tc:dss:1.0:core:schema"
179 elementFormDefault="qualified" attributeFormDefault="unqualified">
```

180 1.3 DSS Overview (Non-normative)

181 This specification describes two XML-based request/response protocols – a signing protocol and
182 a verifying protocol. Through these protocols a client can send documents (or document hashes)
183 to a server and receive back a signature on the documents; or send documents (or document
184 hashes) and a signature to a server, and receive back an answer on whether the signature
185 verifies the documents.

186 These operations could be useful in a variety of contexts – for example, they could allow clients to
187 access a single corporate key for signing press releases, with centralized access control,
188 auditing, and archiving of signature requests. They could also allow clients to create and verify
189 signatures without needing complex client software and configuration.

190 The signing and verifying protocols are chiefly designed to support the creation and verification of
191 XML signatures [**XMLSig**], XML timestamps (see section 5.1), binary timestamps [**RFC 3161**]
192 and CMS signatures [**RFC3369**]. These protocols may also be extensible to other types of
193 signatures and timestamps, such as PGP signatures [**RFC 2440**].

194 It is expected that the signing and verifying protocols will be *profiled* to meet many different
195 application scenarios. In anticipation of this, these protocols have only a minimal set of required
196 elements, which deal with transferring “input documents” and signatures back and forth between
197 client and server. The input documents to be signed or verified can be transferred in their
198 entirety, or the client can hash the documents itself and only send the hash values, to save
199 bandwidth and protect the confidentiality of the document content.

200 All functionality besides transferring input documents and signatures is relegated to a framework
201 of “optional inputs” and “optional outputs”. This document defines a number of optional inputs
202 and outputs. Profiles of these protocols can pick and choose which optional inputs and outputs to
203 support, and can introduce their own optional inputs and outputs when they need functionality not
204 anticipated by this specification.

205 Examples of optional inputs to the signing protocol include: what type of signature to produce,
206 which key to sign with, who the signature is intended for, and what signed and unsigned
207 properties to place in the signature. Examples of optional inputs to the verifying protocol include:
208 the time for which the client would like to know the signature’s validity status, additional validation
209 data necessary to verify the signature (such as certificates and CRLs), and requests for the
210 server to return information such as the signer’s name or the signing time.

211 The signing and verifying protocol messages must be transferred over some underlying
212 protocol(s) which provide message transport and security. A *binding* specifies how to use the
213 signing and verifying protocols with some underlying protocol, such as HTTP POST or TLS.
214 Section 6 provides an initial set of bindings.

215 In addition to defining the signing and verifying protocols, this specification defines two XML
216 elements that are related to these protocols. First, an XML timestamp element is defined in
217 section 5.1. The signing and verifying protocols can be used to create and verify XML
218 timestamps; a profile for doing so is defined in [**XML-TSP**]. Second, a Requester Identity
219 element is defined in section 5.2. This element can be used as a signature property in an XML
220 signature, to give the name of the end-user who requested the signature.

221 2 Common Protocol Structures

222 The following sections describe XML structures and types that are used in multiple places.

223 2.1 Type AnyType

224 The **AnyType** complex type allows arbitrary XML element content within an element of this type
225 (see section 3.2.1 Element Content [XML]).

```
226 <xs:complexType name="AnyType">  
227   <xs:sequence>  
228     <xs:any processContents="lax"  
229           minOccurs="0"  
230           maxOccurs="unbounded"/>  
231   </xs:sequence>  
232 </xs:complexType>
```

233 2.2 Type InternationalStringType

234 The **InternationalStringType** complex type attaches an `xml:lang` attribute to a human-
235 readable string to specify the string's language.

```
236 <xs:complexType name="InternationalStringType">  
237   <xs:simpleContent>  
238     <xs:extension base="xs:string">  
239       <xs:attribute ref="xml:lang" use="required">  
240     </xs:extension base="xs:string">  
241   </xs:simpleContent>  
242 </xs:complexType>
```

243 2.3 Type saml:NameIdentifierType

244 The **saml:NameIdentifierType** complex type is used where different types of names are needed
245 (such as email addresses, Distinguished Names, etc.). This type is borrowed from
246 [SAMLCore1.1] section 2.4.2.2. It consists of a string with the following attributes:

247 `NameQualifier` [Optional]

248 The security or administrative domain that qualifies the name of the subject. This attribute
249 provides a means to federate names from disparate user stores without collision.

250 `Format` [Optional]

251 A URI reference representing the format in which the string is provided. See section 7.3 of
252 [SAMLCore1.1] for some URI references that may be used as the value of the `Format`
253 attribute.

254 2.4 Element <InputDocuments>

255 The `<InputDocuments>` element is used to send input documents to a DSS server, whether for
256 signing or verifying. An input document can be any piece of data that can be used as input to a
257 signature or timestamp calculation. An input document can even *be* a signature or timestamp (for
258 example, a pre-existing signature can be counter-signed or timestamped). An input document
259 could also be a `<ds:Manifest>`, allowing the client to handle manifest creation while using the
260 server to create the rest of the signature. Manifest validation is supported by the DSS Core.

261

262 The <InputDocuments> element consists of any number of the following elements:

263 <Document> [Any Number]

264 It contains an XML document as specified in section 2.4.2 of this document.

265 <TransformedData> [Any Number]

266 This contains the binary output of a chain of transforms applied by a client as specified in
267 section 2.4.3 of this document.

268 <DocumentHash> [Any Number]

269 This contains the hash value of an XML document or some other data after a client has
270 applied a sequence of transforms and also computed a hash value as specified in
271 section 2.4.4 of this document.

272 <Other>

273 Other may contain arbitrary content that may be specified in a profile and can also be used to
274 extend the Protocol for details see section 2.1.

275

```
276 <xs:element name="InputDocuments">
277   <xs:complexType>
278     <xs:sequence>
279       <xs:choice minOccurs="1" maxOccurs="unbounded">
280         <xs:element ref="dss:Document" />
281         <xs:element ref="dss:TransformedData" />
282         <xs:element ref="dss:DocumentHash" />
283         <xs:element name="Other" type="dss:AnyType" />
284       </xs:choice>
285     </xs:sequence>
286   </xs:complexType>
287 </xs:element>
```

288 When using DSS to create or verify XML signatures, each input document will usually correspond
289 to a single <ds:Reference> element. Thus, in our descriptions below of the <Document>,
290 <TransformedData> and <DocumentHash> elements, we will explain how certain elements
291 and attributes of a <Document>, <TransformedData> and <DocumentHash> correspond to
292 components of a <ds:Reference>.

293 2.4.1 Type DocumentBaseType

294 The **DocumentBaseType** complex type is subclassed by <Document>, <TransformedData>
295 and <DocumentHash> elements. It contains the basic information shared by subclasses and
296 remaining persistent during the process from input document retrieval until digest calculation for
297 the relevant document. It contains the following elements and attributes:

298 ID [Optional]

299 This identifier gives the input document a unique label within a particular request message.
300 Through this identifier, an optional input (see sections 2.7, 3.5.6 and 3.5.8) can refer to a
301 particular input document.

302 RefURI [Optional]

303 This specifies the value for a <ds:Reference> element's URI attribute when referring to this
304 input document. The RefURI attribute SHOULD be specified; no more than one RefURI
305 attribute may be omitted in a single signing request.

306 RefType [Optional]
307 This specifies the value for a <ds:Reference> element's Type attribute when referring to
308 this input document.

309 SchemaRefs [Optional]:

310 The identified schemas are to be used to identify ID attributes during parsing in sections 2.5.2,
311 3.3.1 1.a and 4.3 and for XPath evaluation in sections 2.6, 3.5.7, 4.3.1. If anything else but
312 <Schema> are referred to, the server MUST report an error. If a referred to <Schema> is not
313 used by the XML document instance this MAY be ignored or reported to the client in the
314 <Result>/<ResultMessage>.

315 The Document is assumed to be valid against the first <Schema> referred to by SchemaRefs.
316 If a <Schemas> element is referred to first by SchemaRefs the document is assumed to be
317 valid against the first <Schema> inside <Schemas>. In both cases, the remaining schemas
318 may occur in any order and are used either directly or indirectly by the first schema.

319 The server MUST use the schemas to identify the ID attributes and MAY also perform
320 complete validation against the schemas.

321

```
322 <xs:complexType name="DocumentBaseType" abstract="true">  
323   <xs:attribute name="ID" type="xs:ID" use="optional"/>  
324   <xs:attribute name="RefURI" type="xs:ID" use="optional"/>  
325   <xs:attribute name="RefType" type="xs:ID" use="optional"/>  
326   <xs:attribute name="SchemaRefs" type="xs:IDREFS" use="optional"/>  
327 </xs:complexType>
```

329 2.4.2 Element <Document>

330 The <Document> element may contain the following elements (in addition to the common ones
331 listed in section 2.4.1):

332 If the content inside one of the following mutually exclusive elements <InlineXML>,
333 <EscapedXML> or <Base64XML> is not parseable XML data, then the server MUST return a
334 <Result> (section 2.6) issuing a <ResultMajor> RequesterError qualified by a
335 <ResultMinor> NotParseableXMLDocument.

336 InlineXML will work with PIs and/or Comments if ignorePis and ignoreComments are false
337 respectively and if the server supports such behavior.

338 The server MUST use the <Schema> referred by <SchemaRefs> for validation if specified.

339 <Base64XML> [Optional] [Default]

340 This contains a base64 string obtained after base64 encoding of a XML data. The server
341 MUST decode it to obtain the XML data.

342 <InlineXML> [Optional]

343 The InlineXMLType clearly expresses the fact, that content of <InlineXML> is inline xml that
344 should be equivalent to a complete XML Document. I.e. having only one DocumentElement
345 (see section 2.1 Well-Formed XML Documents [XML]) and not allowing anything but PI's and
346 Comments before and after this one element.

347 It contains the ignorePis and ignoreComments attributes. These attributes indicate
348 respectively, if processing instructions or comments MAY be ignored.

349 If one or both of these attributes are not present, their values MUST be considered to be
350 "true".

351 <EscapedXML> [Optional]
 352 This contains an escaped string. The server MUST unescape (escape sequences are
 353 processed to produce original XML sequence) it for obtaining xml data.
 354 <Base64Data> [Optional]
 355 This contains a base64 encoding of data that are not XML. The type of data is specified by its
 356 MIMEType attribute, that may be required when using DSS with other signature types.
 357 SchemaRefs [Optional]:
 358 As described above in 2.4.1.
 359

```

360 <xs:element name="Document" type="dss:DocumentType"/>
361
362 <xs:complexType name="DocumentType">
363   <xs:complexContent>
364     <xs:extension base="dss:DocumentBaseType">
365       <xs:choice>
366         <xs:element name="InlineXML" type="dss:InlineXMLType"/>
367         <xs:element name="Base64XML" type="xs:base64Binary"/>
368         <xs:element name="EscapedXML" type="xs:string"/>
369         <xs:element ref="dss:Base64Data"/>
370       </xs:choice>
371     </xs:extension>
372   </xs:complexContent>
373 </xs:complexType>
374
375 <xs:element name="Base64Data">
376   <xs:complexType>
377     <xs:simpleContent>
378       <xs:extension base="xs:base64Binary">
379         <xs:attribute name="MIMEType" type="xs:string"
380           use="optional"/>
381       </xs:extension>
382     </xs:simpleContent>
383   </xs:complexType>
384 </xs:element>
385
386 <xs:complexType name="InlineXMLType">
387   <xs:sequence>
388     <xs:any processContents="lax"/>
389   </xs:sequence>
390   <xs:attribute name="ignorePIs" type="xs:boolean"
391     use="optional" default="true"/>
392   <xs:attribute name="ignoreComments" type="xs:boolean"
393     use="optional" default="true"/>
394 </xs:complexType>

```

395 2.4.3 Element <TransformedData>

396 The <TransformedData> element contains the following elements (in addition to the common
 397 ones listed in section 2.4.1):
 398 <ds:Transforms> [Optional]
 399 This is the sequence of transforms applied by the client and specifies the value for a
 400 <ds:Reference> element's <ds:Transforms> child element. In other words, this

401 specifies transforms that the client has already applied to the input document before the
402 server will hash it.

403 <Base64Data> [Required]

404 This gives the binary output of a sequence of transforms to be hashed at the server side.

```
405 <xs:element name="DocumentHash">  
406   <xs:complexType>  
407     <xs:complexContent>  
408       <xs:extension base="dss:DocumentBaseType">  
409         <xs:sequence>  
410           <xs:element ref="ds:Transforms" minOccurs="0"/>  
411           <xs:element ref="dss:Base64Data"/>  
412         </xs:sequence>  
413       </xs:extension>  
414     </xs:complexContent>  
415   </xs:complexType>  
416 </xs:element>
```

417

418 2.4.4 Element <DocumentHash>

419 The <DocumentHash> element contains the following elements (in addition to the common ones
420 listed in section 2.4.1):

421 <ds:Transforms> [Optional]

422 This specifies the value for a <ds:Reference> element's <ds:Transforms> child element
423 when referring to this document hash. In other words, this specifies transforms that the client
424 has already applied to the input document before hashing it.

425 <ds:DigestMethod> [Required]

426 This identifies the digest algorithm used to hash the document at the client side. This
427 specifies the value for a <ds:Reference> element's <ds:DigestMethod> child element
428 when referring to this input document.

429 <ds:DigestValue> [Required]

430 This gives the document's hash value. This specifies the value for a <ds:Reference>
431 element's <ds:DigestValue> child element when referring to this input document.

```
432 <xs:element name="DocumentHash">  
433   <xs:complexType>  
434     <xs:complexContent>  
435       <xs:extension base="dss:DocumentBaseType">  
436         <xs:sequence>  
437           <xs:element ref="ds:Transforms" minOccurs="0"/>  
438           <xs:element ref="ds:DigestMethod"/>  
439           <xs:element ref="ds:DigestValue"/>  
440         </xs:sequence>  
441       </xs:extension>  
442     </xs:complexContent>  
443   </xs:complexType>  
444 </xs:element>
```

445 2.5 Element <SignatureObject>

446 The <SignatureObject> element contains a signature or timestamp of some sort. This
447 element is returned in a sign response message, and sent in a verify request message. It may
448 contain one of the following child elements:

449 <ds:Signature> [Optional]

450 An XML signature [XMLSig].

451 <Timestamp> [Optional]

452 An XML, RFC 3161 or other timestamp (see section 5.1).

453 <Base64Signature> [Optional]

454 A base64 encoding of some non-XML signature, such as a PGP [RFC 2440] or CMS [RFC
455 3369] signature. The type of signature is specified by its Type attribute (see section 7.1).

456 <SignaturePtr> [Optional]

457 This is used to point to an XML signature in an input (for a verify request) or output (for a sign
458 response) document in which a signature is enveloped.

459 SchemaRefs [Optional]

460 As described above in 2.4.1

461 A <SignaturePtr> contains the following attributes:

462 WhichDocument [Required]

463 This identifies the input document as in section 2.4.2 being pointed at (see also ID attribute in
464 section 2.4.1).

465 XPath [Optional]

466 a) This identifies the signature element being pointed at.

467 b) The XPath expression is evaluated from the root node (see section 5.1 [XPATH]) of the
468 document identified by WhichDocument after the xml data was extracted and parsed if
469 necessary. The context node for the XPath evaluation is the document's DocumentElement
470 (see section 2.1 Well-Formed XML Documents [XML]).

471 c) About namespace declarations for the expression necessary for evaluation see section 1
472 [XPATH]. Namespace prefixes used in XPath expressions MUST be declared within the
473 element containing the XPath expression. E.g.: <SignaturePtr
474 xmlns:ds="http://www.w3.org/2000/09/xmldsig#" XPath="//ds:Signature">.
475 See also the following example below. A piece of a XML signature of a <ds:Reference>
476 containing a <ds:Transforms> with a XPath filtering element that includes inline
477 namespace prefixes declaration. This piece of text comes from one of the signatures that were
478 generated in the course of the interoperability experimentation. As one can see they are
479 added to the <ds:XPath> element:

```
480 <Reference URI="">  
481 <Transforms>  
482 <ds:Transform xmlns:ds="http://www.w3.org/2000/09/xmldsig#">  
483 Algorithm="http://www.w3.org/TR/1999/REC-xpath-19991116">  
484 <ds:XPath  
485 xmlns:upc1="http://www.ac.upc.edu/namespaces/ns1"  
486 xmlns:upc2="http://www.ac.upc.edu/namespaces/ns2">ancestor-or-  
487 self::upc1:Root</ds:XPath>  
488 </ds:Transform>  
489 </Transforms>  
490 <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
```

```
491 <DigestValue>24xf8vfP3xJ40akfFAnEVM/zxXY=</DigestValue>
492 </Reference>
```

493 If the XPath does not evaluate to one element the server MUST return a <Result> (section
494 2.6) issuing a <ResultMajor> RequesterError qualified by a <ResultMinor>
495 XPathEvaluationError.

496
497 <Other>

498 Other may contain arbitrary content that may be specified in a profile and can also be used to
499 extend the Protocol.

500 The following schema fragment defines the <SignatureObject>, <Base64Signature>, and
501 <SignaturePtr> elements:

```
502 <xs:element name="SignatureObject">
503   <xs:complexType>
504     <xs:sequence>
505       <xs:choice>
506         <xs:element ref="ds:Signature" />
507         <xs:element ref="dss:Timestamp" />
508         <xs:element ref="dss:Base64Signature" />
509         <xs:element ref="dss:SignaturePtr" />
510         <xs:element name="Other" ref="dss:AnyType" />
511       </xs:choice>
512     </xs:sequence>
513     <xs:attribute name="SchemaRefs" type="xs:IDREFS" use="optional" />
514   </xs:complexType>
515 </xs:element>
516 <xs:element name="Base64Signature">
517   <xs:complexType>
518     <xs:simpleContent>
519       <xs:extension base="xs:base64Binary">
520         <xs:attribute name="Type" type="xs:anyURI" />
521       </xs:extension>
522     </xs:simpleContent>
523   </xs:complexType>
524 </xs:element>
525 <xs:element name="SignaturePtr">
526   <xs:complexType>
527     <xs:attribute name="WhichDocument" type="xs:IDREF" />
528     <xs:attribute name="XPath" type="xs:string" use="optional" />
529   </xs:complexType>
530 </xs:element>
```

531 2.6 Element <Result>

532 The <Result> element is returned with every response message. It contains the following child
533 elements:

534 <ResultMajor> [Required]

535 The most significant component of the result code.

536 <ResultMinor> [Optional]

537 The least significant component of the result code.

538 <ResultMessage> [Optional]

539 A message which MAY be returned to an operator, logged, used for debugging, etc.

```

540 <xs:element name="Result">
541   <xs:complexType>
542     <xs:sequence>
543       <xs:element name="ResultMajor" type="xs:anyURI"/>
544       <xs:element name="ResultMinor" type="xs:anyURI"
545         minOccurs="0"/>
546       <xs:element name="ResultMessage"
547         type="InternationalStringType" minOccurs="0"/>
548     </xs:sequence>
549   </xs:complexType>
550 </xs:element>

```

551 The <ResultMajor> and <ResultMinor> URIs MUST be values defined by this specification
552 or by some profile of this specification. The <ResultMajor> values defined by this specification
553 are:

554 urn:oasis:names:tc:dss:1.0:resultmajor:Success

555 The protocol executed successfully.

556 urn:oasis:names:tc:dss:1.0:resultmajor:RequesterError

557 The request could not be satisfied due to an error on the part of the requester.

558 urn:oasis:names:tc:dss:1.0:resultmajor:ResponderError

559 The request could not be satisfied due to an error on the part of the responder.

560

561 This specification defines the following <ResultMinor> values. These values SHALL only be
562 returned when the <ResultMajor> code is RequesterError:

563 urn:oasis:names:tc:dss:1.0:resultminor:NotAuthorized

564 The client is not authorized to perform the request.

565 urn:oasis:names:tc:dss:1.0:resultminor:NotSupported

566 The server didn't recognize or doesn't support some aspect of the request.

567 urn:oasis:names:tc:dss:1.0:resultminor:NotParseableXMLDocument

568 The server was not able to parse a Document.

569 urn:oasis:names:tc:dss:1.0:resultminor:XMLDocumentNotValid

570 The server was not able to validate a Document.

571 urn:oasis:names:tc:dss:1.0:resultminor:XPathEvaluationError

572 The server was not able to evaluate a given XPath as required.

573 urn:oasis:names:tc:dss:1.0:resultminor:MoreThanOneRefUriOmitted

574 The server was not able to create a signature because more than one RefURI was omitted.

575 The Success <ResultMajor> code on a verify response message SHALL be followed by a
576 <ResultMinor> code which indicates the status of the signature. See section 4 for details.

577 **2.7 Elements <OptionalInputs> and <OptionalOutputs>**

578 All request messages can contain an <OptionalInputs> element, and all response messages
579 can contain an <OptionalOutputs> element. Several optional inputs and outputs are defined
580 in this document, and profiles can define additional ones.

581 The <OptionalInputs> contains additional inputs associated with the processing of the
582 request. Profiles will specify the allowed optional inputs and their default values. The definition of

583 an optional input MAY include a default value, so that a client may omit the <OptionalInputs>
584 yet still get service from any profile-compliant DSS server.

585 If a server doesn't recognize or can't handle any optional input, it MUST reject the request with a
586 <ResultMajor> code of RequesterError and a <ResultMinor> code of NotSupported
587 (see section 2.6).

588 The <OptionalOutputs> element contains additional protocol outputs. The client MAY
589 request the server to respond with certain optional outputs by sending certain optional inputs.
590 The server MAY also respond with outputs the client didn't request, depending on the server's
591 profile and policy.

592 The <OptionalInputs> and <OptionalOutputs> elements contain unordered inputs and
593 outputs. Applications MUST be able to handle optional inputs or outputs appearing in any order
594 within these elements. Normally, there will only be at most one occurrence of any particular
595 optional input or output within a protocol message. Where multiple occurrences of an optional
596 input (e.g. <IncludeObject> in section 3.5.6) or optional output are allowed, it will be explicitly
597 specified (see section 4.6.8 for an example).

598 The following schema fragment defines the <OptionalInputs> and <OptionalOutputs>
599 elements:

```
600 <xs:element name="OptionalInputs" type="dss:AnyType" />  
601  
602 <xs:element name="OptionalOutputs" type="dss:AnyType" />
```

603 2.8 Common Optional Inputs

604 These optional inputs can be used with both the signing protocol and the verifying protocol.

605 2.8.1 Optional Input <ServicePolicy>

606 The <ServicePolicy> element indicates a particular policy associated with the DSS service.
607 The policy may include information on the characteristics of the server that are not covered by the
608 Profile attribute (see sections 3.1 and 4.1). The <ServicePolicy> element may be used to
609 select a specific policy if a service supports multiple policies for a specific profile, or as a sanity-
610 check to make sure the server implements the policy the client expects.

```
611 <xs:element name="ServicePolicy" type="xs:anyURI" />
```

612 2.8.2 Optional Input <ClaimedIdentity>

613 The <ClaimedIdentity> element indicates the identity of the client who is making a request.
614 The server may use this to parameterize any aspect of its processing. Profiles that make use of
615 this element MUST define its semantics.

616 The <SupportingInfo> child element can be used by profiles to carry information related to
617 the claimed identity. One possible use of <SupportingInfo> is to carry authentication data
618 that authenticates the request as originating from the claimed identity (examples of authentication
619 data include a password or SAML Assertion [SAMLCore1.1], or a signature or MAC calculated
620 over the request using a client key).

621 The claimed identity may be authenticated using the security binding, according to section 6, or
622 using authentication data provided in the <SupportingInfo> element. The server MUST
623 check that the asserted <Name> is authenticated before relying upon the <Name>.

```
624 <xs:element name="ClaimedIdentity">  
625 <xs:complexType>
```

```
626     <xs:sequence>
627         <xs:element name="Name" type="saml:NameIdentifierType" />
628         <xs:element name="SupportingInfo" type="dss:AnyType"
629             minOccurs="0" />
630     </xs:sequence>
631 </xs:complexType>
632 </xs:element>
```

633 2.8.3 Optional Input <Language>

634 The <Language> element indicates which language the client would like to receive
635 **InternationalStringType** values in. The server should return appropriately localized strings, if
636 possible.

```
637 <xs:element name="Language" type="xs:language" />
```

638 2.8.4 Optional Input <AdditionalProfile>

639 The <AdditionalProfile> element can appear multiple times in a request. It indicates
640 additional profiles which modify the main profile specified by the Profile attribute (thus the
641 Profile attribute MUST be present; see sections 3.1 and 4.1 for details of this attribute). The
642 interpretation of additional profiles is determined by the main profile.

```
643 <xs:element name="AdditionalProfile" type="xs:anyURI" />
```

644 2.8.5 Optional Input <Schemas>

645 The <Schemas> element provides an in band mechanism for communicating XML schemas
646 required for validating an XML document.

```
647 <xs:element name="Schemas" type="dss:SchemasType" />
648 <xs:complexType name="SchemasType">
649     <xs:sequence>
650         <xs:element ref="dss:Schema" minOccurs="1" maxOccurs="unbounded" />
651     </xs:sequence>
652 </xs:complexType>
653
654 <xs:element name="Schema" type="dss:DocumentType" />
```

655 An XML schema is itself an XML document, however, only the following attributes, defined in
656 `dss:DocumentType`, are meaningful for the <Schema> element:

657 ID

658 Used by relying XML document to identify a schema.

659 RefURI

660 The target namespace of the schema (i.e. the value of the `targetNamespace` attribute).

661 RefType

662 MUST NOT be used.

663 SchemaRefs

664 MUST NOT be used.

665 2.9 Common Optional Outputs

666 These optional outputs can be used with both the signing protocol and the verifying protocol.

667 2.9.1 Optional Output <Schemas>

668 The <Schemas> element provides an in band mechanism for communicating XML schemas
669 required for validating an XML document.

670 For a description of its constituents see above in section 2.8.5.

671

672 2.10 Type <RequestBaseType>

673 The <RequestBaseType> complex type is the base structure for request elements defined by
674 the core protocol or profiles. It defines the following attributes and elements:

675 RequestID [Optional]

676 This attribute is used to correlate requests with responses. When present in a request, the
677 server MUST return it in the response.

678 Profile [Optional]

679 This attribute indicates a particular DSS profile. It may be used to select a profile if a server
680 supports multiple profiles, or as a sanity-check to make sure the server implements the profile
681 the client expects.

682 <OptionalInputs> [Optional]

683 Any additional inputs to the request.

684 <InputDocuments> [Optional]

685 The input documents which the processing will be applied to.

```
686 <xs:complexType name="RequestBaseType">  
687   <xs:sequence>  
688     <xs:element ref="dss:OptionalInputs" minOccurs="0"/>  
689     <xs:element ref="dss:InputDocuments" />  
690   </xs:sequence>  
691   <xs:attribute name="RequestID" type="xs:string"  
692     use="optional"/>  
693   <xs:attribute name="Profile" type="xs:anyURI" use="optional"/>  
694 </xs:complexType>
```

695

696 2.11 Type <ResponseBaseType>

697 The <ResponseBaseType> complex type is the base structure for response elements defined
698 by the core protocol or profiles. It defines the following attributes and elements:

699 RequestID [Optional]

700 This attribute is used to correlate requests with responses. When present in a request, the
701 server MUST return it in the response.

702 Profile [Required]

703 This attribute indicates the particular DSS profile used by the server. It may be used by the
704 client for logging purposes or to make sure the server implements a profile the client expects.

705 <Result> [Required]

706 A code representing the status of the request.

707 <OptionalOutputs> [Optional]

708 Any additional outputs returned by the server.

```
709 <xs:complexType name="ResponseBaseType">  
710   <xs:sequence>  
711     <xs:element ref="dss:Result" />  
712     <xs:element ref="dss:OptionalOutputs" minOccurs="0" />  
713   </xs:sequence>  
714   <xs:attribute name="RequestID" type="xs:string"  
715     use="optional" />  
716   <xs:attribute name="Profile" type="xs:anyURI" use="required" />  
717 </xs:complexType>
```

718

719 **2.12 Element <Response>**

720 The <Response> element is an instance of the <ResponseBaseType> type. This element is
721 useful in cases where the DSS server is not able to respond with a special response type. It is a
722 general purpose response element for exceptional circumstances.

723 E.g.: "The server only supports verification requests.", "The server is currently under
724 maintenance" or "The service operates from 8:00 to 17:00".

725

726 Other use cases for this type are expected to be described in special profiles (e.g. the
727 Asynchronous profile).

728

```
729 <xs:element name="Response" type="ResponseBaseType" />
```

730

731 3 The DSS Signing Protocol

732 3.1 Element <SignRequest>

733 The <SignRequest> element is sent by the client to request a signature or timestamp on some
734 input documents. It contains the following attributes and elements inherited from
735 <RequestBaseType>:

736 RequestID [Optional]

737 This attribute is used to correlate requests with responses. When present in a request, the
738 server MUST return it in the response.

739 Profile [Optional]

740 This attribute indicates a particular DSS profile. It may be used to select a profile if a server
741 supports multiple profiles, or as a sanity-check to make sure the server implements the profile
742 the client expects.

743 <OptionalInputs> [Optional]

744 Any additional inputs to the request.

745 <InputDocuments> [Required]

746 The input documents which the signature will be calculated over.

```
747 <xs:element name="SignRequest">  
748     <xs:complexType>  
749         <xs:complexContent>  
750             <xs:extension base="dss:RequestBaseType"/>  
751         </xs:complexContent>  
752     </xs:complexType>  
753 </xs:element>
```

754 3.2 Element <SignResponse>

755 The <SignResponse> element contains the following attributes and elements inherited from
756 <ResponseBaseType>:

757 RequestID [Optional]

758 This attribute is used to correlate requests with responses. When present in a request, the
759 server MUST return it in the response.

760 Profile [Optional]

761 This attribute indicates the particular DSS profile used by the server. It may be used by the
762 client for logging purposes or to make sure the server implements a profile the client expects.

763 <Result> [Required]

764 A code representing the status of the request.

765 <OptionalOutputs> [Optional]

766 Any additional outputs returned by the server.

767 In addition to <ResponseBaseType> the <SignResponse> element defines the following
768 <SignatureObject> element:

769 <SignatureObject> [Optional]

770 The result signature or timestamp or, in the case of a signature being enveloped in an output
771 document (see section 3.5.8), pointer to the signature.

772 In the case of <SignaturePlacement> being used this MUST contain a
773 <SignaturePtr>, having the same XPath expression as in <SignaturePlacement> and
774 pointing to a <DocumentWithSignature> using it's WhichDocument attribute.

```
775 <xs:element name="SignResponse">  
776   <xs:complexType>  
777     <xs:complexContent>  
778       <xs:extension base="dss:ResponseBaseType">  
779         <xs:sequence>  
780           <xs:element ref="dss:SignatureObject"  
781 minOccurs="0"/>  
782         </xs:sequence>  
783       </xs:extension>  
784     </xs:complexContent>  
785   </xs:complexType>  
786 </xs:element>
```

787 3.3 Processing for XML Signatures

788 3.3.1 Basic Process for <Base64XML>

789 A DSS server that produces XML signatures SHOULD perform the following steps, upon
790 receiving a <SignRequest>.

791 These steps may be changed or overridden by procedures defined for the optional inputs (for
792 example, see section 3.5.6), or by the profile or policy the server is operating under.

793 The ordering of the <Document> elements inside the <InputDocuments> MAY be ignored by
794 the server.

795 1. For each <Document> in <InputDocuments> the server MUST perform the following
796 steps:

797 a. In the case of <Base64XML> (see later sub-sections for other cases), the server
798 base64-decodes the data contained within <Document> into an octet stream.
799 This data MUST be a well formed XML Document as defined in [Schema1]
800 section 2.1. If the RefURI attribute references within the same input document
801 then the server parses the octet stream to NodeSetData (see [XMLSig] section
802 4.3.3.3) before proceeding to the next step.

803 b. The data is processed and transforms applied by the server to produce a
804 canonicalized octet string as required in [XMLSig] section 4.3.3.2.
805 Note: Transforms are applied as a server implementation MAY choose to
806 increase robustness of the Signatures created. These Transforms may reflect
807 idiosyncrasies of different parsers or solve encoding issues or the like. Servers
808 MAY choose not to apply transforms in basic processing and extract the data
809 binary for direct hashing or canonicalize the data directly if certain optional inputs
810 (see sections 3.5.8 point 2 and 1.d.v, 3.5.9) are not to be implemented.
811 Note: As required in [XMLSig] if the end result is an XML node set, the server
812 MUST attempt to convert the node set back into an octet stream using Canonical
813 XML [XML-C14N].

814 c. The hash of the resulting octet stream is calculated.

815 d. The server forms a <ds:Reference> with the elements and attributes set as
816 follows:

- 817 i. If the <Document> has a RefURI attribute, the <ds:Reference>
818 element's URI attribute is set to the value of the RefURI attribute, else
819 this attribute is omitted.
820 A signature MUST NOT be created if more than one RefURI is omitted
821 in the set of input documents and the server MUST report a
822 RequesterError.
- 823 ii. If the <Document> has a RefType attribute, the <ds:Reference>
824 element's Type attribute is set to the value of the RefType attribute,
825 else this attribute is omitted.
- 826 iii. The <ds:DigestMethod> element is set to the hash method used.
- 827 iv. The <ds:DigestValue> element is set to the hash value that is to be
828 calculated as per [XMLSig].
- 829 v. The <ds:Transforms> element is set to the sequence of transforms
830 applied by the server in step b. This sequence MUST describe the
831 effective transform as a reproducible procedure from parsing until hash.
- 832 2. References resulting from processing of optional inputs MUST be included. In doing so, the
833 server MAY reflect the ordering of the <Document> elements.
- 834 3. The server creates an XML signature using the <ds:Reference> elements created in Step
835 1.d, according to the processing rules in [XMLSig].

836 3.3.2 Process Variant for <InlineXML>

837 In the case of an input document which contains <InlineXML> Step 3.3.1 1.a is replaced with
838 the following step:

- 839 1.
- 840 a. The XML document is extracted from the DSS protocol envelope, without taking
841 inherited namespaces and attributes. Exclusive Canonical XML [XML-xcl-c14n]
842 MUST be applied to extract data AND assure context free extraction.
843 If signed data is to be echoed back to the client and hence details could get lost refer
844 to Appendix A.

845

846 In Step 3.3.1 step 1.d.v, the <ds:Transforms> element MUST begin with the canonicalization
847 transform applied under revised step 3.3.2 1.a above.

848 3.3.3 Process Variant for <EscapedXML>

849 In the case of an input document which contains <EscapedXML> Step 3.3.1 1.a is replaced with
850 the following:

- 851 1.
- 852 a. In the case of <EscapedXML> the server unescapes the data contained within
853 <Document> into a character string. If the RefURI references within the same input
854 document the server parses the unescaped character content to NodeSetData if
855 necessary. If the RefURI does not reference within the same input document then the
856 server canonicalizes the characters or parsed NodeSetData (see [XMLSig] section
857 4.3.3.3) to octet stream if necessary before proceeding to the next step.

858

859 Note: If the characters are converted to an octet stream directly a consistent
860 encoding including ByteOrderMark has to be ensured.

861

862 In Step 3.3.1 1.d.v, the <ds:Transforms> element MUST begin with the canonicalization
863 transform applied under revised step 3.3.3 1.a above.

864

865 **3.3.4 Process Variant for <Base64Data>**

866 In the case of an input document which contains <Base64data> Step 1 a and Step 1 b are
867 replaced with the following:

868 1.

869 a. The server base64-decodes the data contained within <Document> into an octet
870 string.

871 b. No transforms or other changes are made to the octet string before hashing.

872

873 Note: If the RefURI references within the same input document the Document MUST
874 also be referenced by <IncludeObject> in section 3.5.6 to include the object as
875 base64 data inside a <ds:Object> otherwise a <Result> (section 2.6) issuing a
876 <ResultMajor> RequesterError qualified by a <ResultMinor>
877 NotParseableXMLDocument.

878

879 **3.3.5 Process Variant for <TransformedData>**

880 In the case of an input document which contains <TransformedData> Step 3.3.1 1 is replaced
881 with the following:

882 1.

883 a. The server base64-decodes the data contained within <Base64Data> of
884 <TransformedData> into an octet string.

885 b. Omitted.

886 c. The hash over of the octet stream extracted in step a is calculated.

887 d. as in 3.3.1 step 1d updated as follows

888 i. The <ds:Transforms> element is set to the sequence of transforms
889 indicated by the client in the <ds:Transforms> element within the
890 <TransformedData>. This sequence MUST describe the effective
891 transform as a reproducible procedure from parsing until digest input.

892 **3.3.6 Process Variant for <DocumentHash>**

893 In the case of an input document which is provided in the form of a hash value in
894 <DocumentHash> Step 3.3.1 1 is replaced with the following:

895 1.

896 a. Omitted.

897 b. Omitted.

898 c. Omitted.

899 d. as in 3.3.1 step 1d updated as follows

900 i. The <ds:DigestMethod> element is set to the value in <DocumentHash>.
901 The <ds:DigestValue> element is set to the value in <DocumentHash>.

- 902 ii. The <ds:Transforms> element is set to the sequence of transforms indicated
903 by the client in the <ds:Transforms> element within <DocumentHash>, if any
904 such transforms are indicated by the client. This sequence MUST describe
905 the effective transform as a reproducible procedure from parsing until hash.

906 **3.4 Basic Processing for CMS Signatures**

907 A DSS server that produces CMS signatures [RFC 3852] SHOULD perform the following steps,
908 upon receiving a <SignRequest>. These steps may be changed or overridden by the optional
909 inputs, or by the profile or policy the server is operating under. With regard to the compatibility
910 issues in validation / integration of PKCS#7 signatures and CMS implementations please refer to
911 **[RFC 3852]** section 1.1.1 "Changes Since PKCS #7 Version 1.5".

912 The <SignRequest> should contain either a single <Document> not having RefURI,
913 RefType set or a single <DocumentHash> not having RefURI, RefType,
914 <ds:Transforms> set:

- 915 1. If a <Document> is present, the server hashes its contents as follows:
 - 916 a. If the <Document> contains <Base64XML>, the server extracts the ancestry context
917 free text content of the <Base64XML> as an octet stream by base64 decoding its
918 contents.
 - 919 b. If the <Document> contains <InlineXML>, the server extracts the ancestry context
920 free text content of the <InlineXML> as an octet stream as explained in (section
921 3.3.2 1.a). This octet stream has to be returned as <TransformedDocument>/
922 <Base64XML>. For CMS signatures this only has to be returned in the case of CMS
923 signatures that are external/detached/"without eContent", as these return the signed
924 Data anyway.
 - 925 c. If the <Document> contains <EscapedXML>, the server unescapes the content of
926 the <EscapedXML> as a character stream and converts the character stream to an
927 octet stream using an encoding as explained in (section 3.3.3).
 - 928 d. If the <Document> contains <Base64Data>, the server base64-decodes the text
929 content of the <Base64Data> into an octet stream.
 - 930 e. The server hashes the resultant octet stream.
- 931 2. The server forms a SignerInfo structure based on the input document. The components
932 of the SignerInfo are set as follows:
 - 933 a. The digestAlgorithm field is set to the OID value for the hash method that was
934 used in step 1.c (for a <Document>), or to the OID value that is equivalent to the
935 input document's <ds:DigestMethod> (for a <DocumentHash>).
 - 936 b. The signedAttributes field's message-digest attribute contains the hash value that
937 was calculated in step 1.e (for a <Document>), or that was sent in the input
938 document's <ds:DigestValue> (for a <DocumentHash>). Other
939 signedAttributes may be added by the server, according to its profile or policy,
940 or according to the <Properties> optional input (see section 3.5.5).
 - 941 c. The remaining fields (sid, signatureAlgorithm, and signature) are filled in as
942 per a normal CMS signature.
- 943 3. The server creates a CMS signature (i.e. a SignedData structure) containing the
944 SignerInfo that was created in Step 2. The resulting SignedData should be detached
945 (i.e. external or "without eContent") unless the client sends the <IncludeEContent>
946 optional input (see section 3.5.9).

947 3.5 Optional Inputs and Outputs

948 This section defines some optional inputs and outputs that profiles of the DSS signing protocol
949 might find useful. Section 2.8 defines some common optional inputs that can also be used with
950 the signing protocol. Profiles of the signing protocol can define their own optional inputs and
951 outputs, as well. General handling of optional inputs and outputs is discussed in section 2.7.

952 3.5.1 Optional Input <SignatureType>

953 The <SignatureType> element indicates the type of signature or timestamp to produce (such
954 as a XML signature, a XML timestamp, a RFC 3161 timestamp, a CMS signature, etc.). See
955 section 7.1 for some URI references that MAY be used as the value of this element.

```
956 <xs:element name="SignatureType" type="xs:anyURI" />
```

957 3.5.2 Optional Input <AddTimestamp>

958 The <AddTimestamp> element indicates that the client wishes the server to provide a timestamp
959 as a property or attribute of the resultant signature (*VerifyRequest*) or the supplied signature
960 (*SignRequest*). The *Type* attribute, if present, indicates what type of timestamp to apply.
961 Profiles that use this optional input MUST define the allowed values, and the default value, for the
962 *Type* attribute (unless only a single type of timestamp is supported, in which case the *Type*
963 attribute can be omitted).

964 The time stamping of a CMS signature is supported by DSS. The caller SHOULD perform all of
965 the following tasks:

- 966 - pass in the existing signature in a <Base64Data> element whose *MimeType* is set to
967 "application/pkcs7-signature"
- 968 - set the *SignatureType* to "urn:ietf:rfc:3161"
- 969 - include the <AddTimestamp> optional input for explicitness.

970 In this case the DSS server MUST create a valid signature timestamp whose *MessageImprint*
971 is derived from the signature value of the signature passed in on the request. The server MUST
972 then update the signature by including the newly created timestamp as an unauthenticated
973 attribute of the CMS SignedData structure and return this updated signature in the
974 <SignatureObject> element of the <SignResponse>.

975 The server SHOULD not verify the signature before adding the timestamp. If a client wishes that
976 its signatures be verified as a condition of timestamping, the client should use the
977 <AddTimestamp> optional input of the Verify protocol.

```
978 <xs:element name="AddTimestamp">  
979   <xs:complexType>  
980     <xs:attribute name="Type" type="xs:anyURI" use="optional" />  
981   </xs:complexType>  
982 </xs:element>
```

983 3.5.3 Optional Input <IntendedAudience>

984 The <IntendedAudience> element tells the server who the target audience of this signature is.
985 The server may use this to parameterize any aspect of its processing (for example, the server
986 may choose to sign with a key that it knows a particular recipient trusts).

```
987 <xs:element name="IntendedAudience">  
988   <xs:complexType>
```

```

989     <xs:sequence>
990         <xs:element name="Recipient" type="saml:NameIdentifierType"
991             maxOccurs="unbounded" />
992     </xs:sequence>
993 </xs:complexType>
994 </xs:element>

```

995 3.5.4 Optional Input <KeySelector>

996 The <KeySelector> element tells the server which key to use.

```

997 <xs:element name="KeySelector">
998     <xs:complexType>
999         <xs:choice>
1000             <xs:element ref="ds:KeyInfo" />
1001             <xs:element name="Other" ref="dss:AnyType" />
1002         </xs:choice>
1003     </xs:complexType>
1004 </xs:element>

```

1005 3.5.5 Optional Input <Properties>

1006 The <Properties> element is used to request that the server add certain signed or unsigned
1007 properties (aka "signature attributes") into the signature. The client can send the server a
1008 particular value to use for each property, or leave the value up to the server to determine. The
1009 server can add additional properties, even if these aren't requested by the client.

1010 The <Properties> element contains:

1011 <SignedProperties> [Optional]

1012 These properties will be covered by the signature.

1013 <UnsignedProperties> [Optional]

1014 These properties will not be covered by the signature.

1015 Each <Property> element contains:

1016 <Identifier> [Required]

1017 A URI reference identifying the property.

1018 <Value> [Optional]

1019 If present, the value the server should use for the property.

1020 This specification does not define any properties. Profiles that make use of this element MUST
1021 define the allowed property URIs and their allowed values.

```

1022 <xs:element name="Properties">
1023     <xs:complexType>
1024         <xs:sequence>
1025             <xs:element name="SignedProperties"
1026                 type="dss:PropertiesType" minOccurs="0" />
1027             <xs:element name="UnsignedProperties"
1028                 type="dss: PropertiesType" minOccurs="0" />
1029         </xs:sequence>
1030     </xs:complexType>
1031 </xs:element>
1032
1033 <xs:complexType name="PropertiesType">
1034     <xs:sequence>

```

```

1035     <xs:element ref="dss:Property" maxOccurs="unbounded" />
1036 </xs:sequence>
1037 </xs:complexType>
1038
1039 <xs:element name="Property">
1040   <xs:complexType>
1041     <xs:sequence>
1042       <xs:element name="Identifier" type="xs:anyURI" />
1043       <xs:element name="Value" type="dss:AnyType"
1044         minOccurs="0" />
1045     </xs:sequence>
1046   </xs:complexType>
1047 </xs:element>

```

1048 3.5.6 Optional Input <IncludeObject>

1049 Optional input <IncludeObject> is used to request the creation of an XMLSig enveloping
 1050 signature as follows.

1051 The attributes of <IncludeObject> are:

1052 WhichDocument [Required]

1053 Identifies the input document which will be inserted into the returned signature (see the ID
 1054 attribute in section 2.4.1).

1055 hasObjectTagsAndAttributesSet

1056 If True indicates that the <Document> contains a <ds:Object> element which has been
 1057 prepared ready for direct inclusion in the <ds:Signature>.

1058 ObjId [optional]

1059 Sets the Id attribute on the returned <ds:Object>.

1060 createReference

1061 This attribute set to true causes the <ds:Object> to be referenced by a <ds:Reference>
 1062 and hence to be actually digested and signed. Otherwise it has to be referenced by another
 1063 reference or it is just included but not signed.

```

1064 <xs:element name="IncludeObject">
1065   <xs:complexType>
1066     <xs:attribute name="WhichDocument" type="xs:IDREF" />
1067     <xs:attribute name="hasObjectTagsAndAttributesSet"
1068       type="xs:boolean" default="false" />
1069     <xs:attribute name="ObjId" type="xs:string"
1070       use="optional" />
1071     <xs:attribute name="createReference" type="xs:boolean"
1072       use="optional" default="true" />
1073   </xs:complexType>
1074 </xs:element>

```

1075

1076 3.5.6.1 XML DSig Variant Optional Input <IncludeObject>

1077 An enveloping signature is a signature having <ds:Object>s which are referenced by
 1078 <ds:Reference>s having a same-document URI.

1079 For each <IncludeObject> the server creates a new <ds:Object> element containing the
 1080 document, as identified using the WhichDocument attribute, as its child. This object is carried

1081 within the enveloping signature. This <Document> (or documents) MUST include a “same-
1082 document” RefURI attribute (having a value starting with “#”) which references the data to be
1083 signed.

1084 The URI in the RefURI attribute of this <Document> should at least reference the relevant parts
1085 of the Object to be included in the calculation for the corresponding reference. Clients MUST
1086 generate requests in a way that some <ds:Reference>’s URI values actually will reference the
1087 <ds:Object> generated by the server once this element will have been included in the
1088 <ds:Signature> produced by the server.

1089

1090 1. For each <IncludeObject> the server MUST carry out the following steps:

1091 a. The server identifies the <Document> that is to be placed into a <ds:Object> as
1092 indicated by the WhichDocument attribute.

1093 b. The data to be carried in the enveloping signature is extracted and decoded as
1094 described in 3.3.1 Step 1 a (or equivalent step in variants of the basic process as
1095 defined in 3.3.2 onwards depending of the form of the input document).

1096 c. if the hasObjectTagsAndAttributesSet attribute is false or not present the server
1097 builds the <ds:Object> as follows:

1098 i. The server generates the new <ds:Object> and sets its Id attribute to the
1099 value indicated in ObjId attribute of the optional input if present.

1100 ii. In the case of the Document pointed at by WhichDocument having
1101 Base64Data, <ds:Object>’s MIME Type is to be set to the value of
1102 <dss:Base64Data>’s MIME Type value and the Encoding is to be set to
1103 http://www.w3.org/TR/xmlschema-2/#base64Binary

1104 d. The server splices the to-be-enveloped documents as <ds:Object>(s) into the
1105 <ds:Signature>, which is to be returned.

1106 The server then continues with processing as specified in section 3.3.1 if create reference is true
1107 otherwise this <Document> is excluded from further processing and basic processing is applied
1108 for the rest of the <Document>s as specified in section 3.3.1.

1109 **3.5.7 Optional Input <IncludeEContent>**

1110 In the case of the optional input <IncludeEContent> (that stands for included enveloped or
1111 encapsulated content) section 3.4 step 3 is overridden as follows.

1112 3. The server creates a CMS signature (i.e. a SignedData structure) containing the
1113 SignerInfo that was created in Step 3. The resulting SignedData is now internal, as the
1114 document is enveloped in the signature.

1115 For CMS details in this context please refer to [RFC 3852] sections 5.1 “SignedData Type” and
1116 5.2 “EncapsulatedContentInfo Type”.

1117

1118 **3.5.8 Enveloped Signatures, Optional Input <SignaturePlacement> 1119 and Output <DocumentWithSignature>**

1120 Optional input <SignaturePlacement> is used to request the creation of an XMLDSig
1121 enveloped signature placed within an input document. The resulting document with the
1122 enveloped signature is placed in the optional output <DocumentWithSignature> .

1123 The server places the signature in the document identified using the `WhichDocument` attribute.
1124 This `<Document>` MUST include a "same-document" RefURI attribute which references the data
1125 to be signed of the form `RefURI=""`.

1126 In the case of an XML input document, the client may instruct the server precisely where to place
1127 the signature with the optional `<XpathAfter>` and `<XpathFirstChildOf>` child elements. In
1128 the case of a non-XML input document, or when these child elements are omitted, then the server
1129 places the signature in the input document in accordance with procedures defined in a profile or
1130 as part of the server policy.

1131 The `<SignaturePlacement>` element contains the following attributes and elements:

1132 `WhichDocument` [Required]

1133 Identifies the input document which the signature will be inserted into (see the `ID` attribute in
1134 section 2.4.1).

1135 `CreateEnvelopedSignature`

1136 If this is set to true a reference having an enveloped signature transform is created.

1137 `<XpathAfter>` [Optional]

1138 Identifies an element, inside the XML input document, after which the signature will be
1139 inserted. (The rules for XPath evaluation are those stated in section 2.5 SignatureObject)

1140 `<XpathFirstChildOf>` [Optional]

1141 Identifies an element, in the XML input document, which the signature will be inserted as the
1142 first child of. For details on the evaluation of The XPath expression see above
1143 (`<XpathAfter>`). The signature is placed immediately after the start tag of the specified
1144 element.

```
1145 <xs:element name="SignaturePlacement">  
1146   <xs:complexType>  
1147     <xs:choice>  
1148       <xs:element name="XpathAfter" type="xs:string"/>  
1149       <xs:element name="XpathFirstChildOf"  
1150                 type="xs:string"/>  
1151     </xs:choice>  
1152     <xs:attribute name="WhichDocument" type="xs:IDREF"/>  
1153     <xs:attribute name="CreateEnvelopedSignature"  
1154                 type="xs:boolean" default="true"/>  
1155   </xs:complexType>  
1156 </xs:element>
```

1157 The `<DocumentWithSignature>` optional output contains the input document with the
1158 signature inserted. It has one child element:

1159 `<Document>` [Required]

1160 This contains the input document with a signature inserted in some fashion.

```
1161 <xs:element name="DocumentWithSignature">  
1162   <xs:complexType>  
1163     <xs:sequence>  
1164       <xs:element ref="dss:Document"/>  
1165     </xs:sequence>  
1166   </xs:complexType>  
1167 </xs:element>
```

1168

1169 For an XMLSig enveloped signature the client produces a request including elements set as
1170 follows:

- 1171 1. The `WhichDocument` attribute is set to identify the `<Document>` to envelope the signature.
- 1172 2. The `RefURI` attribute for the relevant `<Document>` is set to reference the relevant parts of
- 1173 the Document to be included in the calculation for the corresponding reference. This MUST
- 1174 be a relative reference within the same document. (e.g. `URI=""`, `URI="#xpointer(/)"`,
- 1175 `URI="#xpointer(/DocumentElement/ToBeSignedElement)"`,
- 1176 `URI="#xpointer(/ToBeSignedElements)"`, ...).
- 1177 3. The `createEnvelopedSignature` is set to true (or simply omitted).

1178

1179 If the `<SignaturePlacement>` element is present the server processes it as follows:

- 1180 1. The server identifies the `<Document>` that in which the signature is to be enveloped as
- 1181 indicated by the `WhichDocument` attribute.
- 1182 2. This document is extracted and decoded as described in 3.3.1 Step 1.a (or equivalent step in
- 1183 variants of the basic process as defined in 3.3.2 onwards depending of the form of the input
- 1184 document).
- 1185 3. The server splices the `<ds:Signature>` to-be-enveloped into the document.
- 1186 4. If `createEnvelopedSignature` equals true create a `<ds:Reference>` for the document
- 1187 in question by performing Basic processing as in section 3.3.1 and Step 1.b to 1.d is
- 1188 performed with the following amendments:
- 1189 1.
- 1190 a. [No 1.a]
- 1191 b. [replaced] Include an `EnvelopedSignatureTransform` as the first transform for
- 1192 calculation (even preceding transforms used for extraction) and continue as in
- 1193 3.3.1 Step 1.b applied on the previously extracted document bearing the
- 1194 incomplete signature.
- 1195 c. (same as in 3.3.1 Step 1.c)
- 1196 d. (same as in 3.3.1 Step 1.d.i to 1.d.iv) plus 1.d.v amended as follows:
- 1197 v. The `EnvelopedSignatureTransform` is included as the first Transform
- 1198 (even before `excl-c14n` if it was used for extraction) in the
- 1199 `<ds:Transforms>` element. The sequence MUST describe the
- 1200 effective transform as a reproducible procedure from parsing until hash.
- 1201
- 1202 Note: This is necessary because the `EnvelopedSignatureTransform`
- 1203 would not work if there was a Canonicalization before it. Similar
- 1204 problems apply to transforms using the `here()` function, if such are to be
- 1205 supported the use of Base64XML is indicated.
- 1206 5. Add the returned `<ds:Reference>` as required in 3.3.1 Step 2 of Basic processing.
- 1207 6. The server continues with processing as specified in section 3.3.1 for the rest of the
- 1208 documents.
- 1209 7. The `<SignedObject>` element of the result is set to point to the document with the same
- 1210 `WhichDocument` and XPath expression as in the request.

1211

1212

1213 3.5.9 Optional Input <SignedReferences>

1214 The <SignedReferences> element gives the client greater control over how the
1215 <ds:Reference> elements are formed. When this element is present, step 1 of Basic
1216 Processing (section 3.3.1) is overridden. Instead of there being a one-to-one correspondence
1217 between input documents and <ds:Reference> elements, now each <SignedReference>
1218 element controls the creation of a corresponding <ds:Reference>.

1219 Since each <SignedReference> refers to an input document, this allows multiple
1220 <ds:Reference> elements to be based on a single input document. Furthermore, the client
1221 can request additional transforms to be applied to each <ds:Reference>, and can set each
1222 <ds:Reference> element's Id or URI attribute. These aspects of the <ds:Reference> can
1223 only be set through the <SignedReferences> optional input; they cannot be set through the
1224 input documents, since they are aspects of the reference to the input document, not the input
1225 document itself.

1226 Each <SignedReference> element contains:

1227 WhichDocument [Required]

1228 Which input document this reference refers to (see the ID attribute in section 2.4.1).

1229 RefId [Optional]

1230 Sets the Id attribute on the corresponding <ds:Reference>.

1231 RefURI [Optional]

1232 overrides the RefURI of <dss:Document> and if present from the <SignedReferences>
1233 creates an additional <ds:Reference>

1234 RefType [Optional]

1235 overrides the RefType of <dss:Document>

1236 <ds:Transforms> [Optional]

1237 Requests the server to perform additional transforms on this reference.

1238 When the <SignedReferences> optional input is present, basic processing 3.3.1 step 1 is
1239 performed for each <SignedReference> overriding steps a., b., c. and d.:

1240 If the <SignaturePlacement> element is present the server processes it as follows:

1241

1242 For each <SignedReference> in <SignedReferences>

1243 1. The server identifies the <Document> referenced as indicated by the WhichDocument
1244 attribute.

1245 2. If RefURI is present create an additional <ds:Reference> for the document in question by
1246 performing basic processing as in section 3.3.1 Step 1 amended as follows:

1247 1.

1248 a. Unchanged.

1249 b. Applies the transforms indicated in <ds:Transforms>. Afterwards, the server may
1250 apply any other transform it considers worth according to its policy for generating a
1251 canonicalized octet string as required in step b. of basic Processing before hashing.

1252 c. Unchanged.

1253 d. The server forms a <ds:Reference> with the elements and attributes set as follows:

1254 i. Use this RefURI attribute from the <SignedReference> if present instead of
1255 RefURI from <dss:Document> in step i. of Basic Processing.

- 1256 The *Id* attribute is set to the *<SignedReference>* element's *RefId* attribute. If
 1257 the *<SignedReference>* has no *RefId* attribute, the *<ds:Reference>*
 1258 element's *Id* attribute is omitted.
- 1259 ii.
 1260 iii.
 1261 iv.
 1262 v. The *<ds:Transforms>* used here will have to be added to *<ds:Transforms>* of
 1263 step v. of basic processing so that this element describes the sequence of
 1264 transforms applied by the server and describing the effective transform as a
 1265 reproducible procedure from parsing until hash.
- 1266 2. Add the returned *<ds:Reference>* as required in 3.3.1 Step 2 of Basic processing.
- 1267 3. If *RefURI* is not present perform basic processing for the input document not creating an
 1268 additional *<ds:Reference>* amending Step 1 as follows:
- 1269 1.
 1270 a. *Unchanged.*
 1271 b. *Applies the transforms indicated in <ds:Transforms>. Afterwards, the server may*
 1272 *apply any other transform it considers worth according to its policy for generating a*
 1273 *canonicalized octet string as required in step b. of basic Processing before hashing.*
 1274 c. *Unchanged.*
 1275 d. *The server forms a <ds:Reference> with the elements and attributes set as*
 1276 *follows:*
 1277 i. *Perform step i. of Basic Processing and the Id attribute is set to the*
 1278 *<SignedReference> element's RefId attribute. If the*
 1279 *<SignedReference> has no RefId attribute, the <ds:Reference>*
 1280 *element's Id attribute is omitted.*
 1281 ii. *Unchanged*
 1282 iii. *Unchanged*
 1283 iv. *Unchanged*
 1284 v. *The <ds:Transforms> used here will have to be added to*
 1285 *<ds:Transforms> of step v. of basic processing so that this element*
 1286 *describes the sequence of transforms applied by the server and describing*
 1287 *the effective transform as a reproducible procedure from parsing until hash.*
- 1288 4. The server continues with processing as specified in section 3.3.1 for the rest of the
 1289 documents.

```

1290 <xs:element name="SignedReferences">
1291   <xs:complexType>
1292     <xs:sequence>
1293       <xs:element ref="dss:SignedReference"
1294         maxOccurs="unbounded" />
1295     </xs:sequence>
1296   </xs:complexType>
1297 </xs:element>
1298
1299 <xs:element name="SignedReference">
1300   <xs:complexType>
1301     <xs:sequence>
1302       <xs:element ref="ds:Transforms" minOccurs="0" />
1303     </xs:sequence>

```

1304
1305
1306
1307
1308

```
<xs:attribute name="WhichDocument" type="xs:TDRFF" use="required" />  
<xs:attribute name="RefURI" type="xs:anyURI" use="optional" />  
<xs:attribute name="RefId" type="xs:string" use="optional" />  
</xs:complexType>  
</xs:element>
```

1309

4 The DSS Verifying Protocol

1310

4.1 Element <VerifyRequest>

1311 The <VerifyRequest> inherits from <RequestBaseType>. This element is sent by the client
1312 to verify a signature or timestamp on some input documents. It contains the following additional
1313 elements:

1314 <SignatureObject> [Optional]

1315 This element contains a signature or timestamp, or else contains a <SignaturePtr> that
1316 points to an XML signature in one of the input documents. If this element is omitted, there
1317 must be only a single <InputDocument> which the server will search to find the to-be-
1318 verified signature(s). A <SignaturePtr> or omitted <SignatureObject> MUST be used
1319 whenever the to-be-verified signature is an XML signature which uses an Enveloped
1320 Signature Transform; otherwise the server would have difficulty locating the signature and
1321 applying the Enveloped Signature Transform.

```
1322 <xs:element name="VerifyRequest">  
1323   <xs:complexType>  
1324     <xs:complexContent>  
1325       <xs:extension base="dss:RequestBaseType">  
1326         <xs:sequence>  
1327           <xs:element ref="dss:SignatureObject" minOccurs="0"/>  
1328         </xs:sequence>  
1329       </xs:extension>  
1330     </xs:complexContent>  
1331   </xs:complexType>  
1332 </xs:element>
```

1333

4.2 Element <VerifyResponse>

1334 The <VerifyResponse> inherits from <Response>. This element defines no additional
1335 attributes and elements

1336

4.3 Basic Processing for XML Signatures

1337 A DSS server that verifies XML signatures SHOULD perform the following steps, upon receiving
1338 a <VerifyRequest>. These steps may be changed or overridden by the optional inputs, or by
1339 the profile or policy the server is operating under. For more details on multi-signature verification,
1340 see section 4.3.1.

1341 1. The server retrieves one or more <ds:Signature> objects, as follows: If the
1342 <SignatureObject> is present, the server retrieves either the <ds:Signature> that is a
1343 child element of the <SignatureObject>, or those <ds:Signature> objects which are
1344 pointed to by the <SignaturePtr> in the <SignatureObject>.

1345 a. If the <SignaturePtr> points to an input document but not a specific element in that
1346 document, the pointed-to input document must be a <Document> element containing
1347 XML either in an <InlineXML>, <EscapedXML> or <Base64XML> element. This
1348 document is extracted and decoded as described in 3.3.1 Step 1.a (or equivalent
1349 step in variants of the basic process as defined in 3.3.2 onwards depending of the
1350 form of the input document). The server will search and find every <ds:Signature>

1351 element in this input document, and verify each <ds:Signature> according to the
1352 steps below.

1353 b. If the <SignatureObject> is omitted, there MUST be only a single <Document>
1354 element. This case is handled as if a <SignaturePtr> pointing to the single
1355 <Document> was present: the server will search and find every <ds:Signature>
1356 element in this input document, and verify each <ds:Signature> according to the
1357 steps below.

1358 2. For each <ds:Reference> in the <ds:Signature>, the server finds the input document
1359 with matching RefURI and RefType values. If the <ds:Reference> uses a same-document
1360 URI, the XPointer should be evaluated against the input document the <ds:Signature> is
1361 contained within, or against the <ds:Signature> itself if it is contained within the
1362 <SignatureObject> element. The <SchemaRef> element or optional input <Schema> of
1363 the input document or <SignatureObject> will be used, if present, to identify ID attributes
1364 when evaluating the XPointer expression. If the <ds:Reference> uses an external URI and
1365 the corresponding input document is not present, the server will skip the <ds:Reference>,
1366 and later return a result code such as ReferencedDocumentNotPresent to indicate this.

1367 a. If the input document is a <Document>, the server extracts and decodes as
1368 described in 3.3.1 Step 1.a (or equivalent step in variants of the basic process as
1369 defined in 3.3.2 onwards depending of the form of the input document).

1370 b. If the input document is a <TransformedData>, the server checks that the
1371 <ds:Transforms> match between the <TransformedData> and the
1372 <ds:Reference> and then hashes the resultant data object according to
1373 <ds:DigestMethod>, and checks that the result matches <ds:DigestValue>.

1374 c. If the input document is a <DocumentHash>, the server checks that the
1375 <ds:Transforms>, <ds:DigestMethod>, and <ds:DigestValue> elements
1376 match between the <DocumentHash> and the <ds:Reference>.

1377 d. If such an input document isn't present, and the <ds:Reference> uses a same-
1378 document URI without a barename XPointer (URI=""), then the relevant input
1379 document is the input document the <ds:Signature> is contained within, or the
1380 <ds:Signature> itself if it is contained within the <SignatureObject> element
1381 and processed according to a. above.

1382 3. The server then validates the signature according to section 3.2.2 in [XMLSig].

1383 4. If the signature validates correctly, the server returns one of the first three <ResultMinor>
1384 codes listed in section 4.4, depending on the relationship of the signature to the input
1385 documents (not including the relationship of the signature to those XML elements that were
1386 resolved through XPointer evaluation; the client will have to inspect those relationships
1387 manually). If the signature fails to validate correctly, the server returns some other code;
1388 either one defined in section 4.4 of this specification, or one defined by some profile of this
1389 specification.

1390

1391 4.3.1 Multi-Signature Verification

1392 If a client requests verification of an entire input document, either using a <SignaturePtr>
1393 without an <XPath> or a missing <SignaturePtr> (see section 4.3 step 1), then the server
1394 MUST determine whether the input document contains zero, one, or more than one
1395 <ds:Signature> elements. If zero, the server should return a <ResultMajor> code of
1396 RequesterError.

1397 If more than one `<ds:Signature>` elements are present, the server MUST either reject the
1398 request with a `<ResultMajor>` code of `RequesterError` and a `<ResultMinor>` code of
1399 `NotSupported`, or accept the request and try to verify all of the signatures.
1400 If the server accepts the request in the multi-signature case (or if only a single signature is
1401 present) and one of the signatures fails to verify, the server should return one of the error codes
1402 in section 4.4, reflecting the first error encountered.
1403 If all of the signatures verify correctly, the server should return the `Success` `<ResultMajor>`
1404 code and the following `<ResultMinor>` code:
1405 `urn:oasis:names:tc:dss:1.0:resultminor:ValidMultiSignatures`
1406 Upon receiving this result code, the client SHOULD NOT assume any particular relationship
1407 between the signature and the input document(s). To check such a relationship, the client would
1408 have to verify or inspect the signatures individually.
1409 Only certain optional inputs and outputs are allowed when performing multi-signature verification.
1410 See section 4.6 for details.

1411 **4.4 Result Codes**

1412 Whether the signature succeeds or fails to verify, the server will return the `Success`
1413 `<ResultMajor>` code. The `<ResultMinor>` URI MUST be one of the following values, or
1414 some other value defined by some profile of this specification. The first three values listed below
1415 indicate that the signature or timestamp is valid. Any other value SHALL signal an error of some
1416 sort.

1417 `urn:oasis:names:tc:dss:1.0:resultminor:valid:signature:onAllDocuments`
1418

1419 The signature or timestamp is valid. Furthermore, the signature or timestamp covers all of the
1420 input documents just as they were passed in by the client.

1421 `urn:oasis:names:tc:dss:1.0:resultminor:valid:signature:onTransformedDoc`
1422 `uments`

1423 The signature or timestamp is valid. Furthermore, the signature or timestamp covers all of the
1424 input documents. However, some or all of the input documents have additional transforms
1425 applied to them that were not specified by the client.

1426 `urn:oasis:names:tc:dss:1.0:resultminor:valid:signature:notAllDocumentsR`
1427 `eferenced`

1428 The signature or timestamp is valid. However, the signature or timestamp does not cover all
1429 of the input documents that were passed in by the client.

1430 `urn:oasis:names:tc:dss:1.0:resultminor:invalid:refencedDocumentNotPrese`
1431 `nt`

1432 A `ds:Reference` element is present in the `ds:Signature` containing a full URI, but the
1433 corresponding input document is not present in the request.

1434 `urn:oasis:names:tc:dss:1.0:resultminor:invalid:indeterminateKey`

1435 The server could not determine whether the signing key is valid. For example, the server
1436 might not have been able to construct a certificate path to the signing key.

1437 `urn:oasis:names:tc:dss:1.0:resultminor:invalid:untrustedKey`

1438 The signature is performed by a key the server considers suspect. For example, the signing
1439 key may have been revoked, or it may be a different key from what the server is expecting the
1440 signer to use.

1441 `urn:oasis:names:tc:dss:1.0:resultminor:invalid:incorrectSignature`

1442 The signature fails to verify, indicating that the message was modified in transit, or that the
1443 signature was performed incorrectly.

1444 urn:oasis:names:tc:dss:1.0:resultminor:inappropriate:signature

1445 The signature or its contents are not appropriate in the current context. For example, the
1446 signature may be associated with a signature policy and semantics which the DSS server
1447 considers unsatisfactory.

1448 urn:oasis:names:tc:dss:1.0:resultminor:indetermined:checkOptionalOutput
1449 s

1450 The client will have to determine how to interpret the result – either valid or invalid. It also
1451 causes the <ProcessingDetails> optional output to be returned giving information about
1452 signature core validation.

1453 **4.5 Basic Processing for CMS Signatures**

1454 A DSS server that verifies CMS signatures SHOULD perform the following steps, upon receiving
1455 a <VerifyRequest>. These steps may be changed or overridden by the optional inputs, or by
1456 the profile or policy the server is operating under.

- 1457 1. The server retrieves the CMS signature by decoding the <Base64Signature> child of
1458 <SignatureObject>.
- 1459 2. The server retrieves the input data. If the CMS signature is detached, there must be a single
1460 input document: i.e. a single <Document> or <DocumentHash> element. Otherwise, if the
1461 CMS signature is enveloping, it contains its own input data and there MUST NOT be any
1462 input documents present.
- 1463 3. The CMS signature and input data are verified in the conventional way (see **[RFC 3369]** for
1464 details).
- 1465 4. If the signature validates correctly, the server returns the first <ResultMinor> code listed in
1466 section 4.4. If the signature fails to validate correctly, the server returns some other code;
1467 either one defined in section 4.4 of this specification, or one defined by some profile of this
1468 specification.

1469 **4.6 Optional Inputs and Outputs**

1470 This section defines some optional inputs and outputs that profiles of the DSS verifying protocol
1471 might find useful. Section 2.8 defines some common optional inputs that can also be used with
1472 the verifying protocol. Profiles of the verifying protocol can define their own optional inputs and
1473 outputs, as well. General handling of optional inputs and outputs is discussed in section 2.7.

1474 **4.6.1 Optional Input <VerifyManifests> and Output 1475 <VerifyManifestResults>**

1476 The presence of this element instructs the server to validate manifests in an XML signature.

1477 On encountering such a document in step 2 of basic processing, the server shall repeat step 2 for
1478 all the <ds:Reference> elements within the manifest. In accordance with **[XMLSIG]** section
1479 5.1, DSS Manifest validation does not affect a signature's core validation. The results of verifying
1480 individual <ds:Reference>'s within a <ds:Manifest> are returned in the
1481 <dss:VerifyManifestResults> optional output. For example, a client supplies the optional
1482 input <VerifyManifests>, then the returned <ResultMinor> is
1483 urn:oasis:names:tc:dss:1.0:resultminor:indetermined:checkOptionalOutput
1484 s and the optional outputs <VerifyManifestResults> and <ProcessingDetails> are

1485 returned indicating the status of the manifest verification and signature core validation,
1486 respectively.

1487 The <VerifyManifests> optional input is allowed in multi-signature verification.

1488 <ReferenceXPath> [Required]

1489 Identifies the manifest reference, in the XML signature, to which this result pertains.

1490 <Status> [Required]

1491 Indicates the manifest validation result. It takes one of the values
1492 urn:oasis:names:tc:dss:1.0:manifeststatus:Valid or
1493 urn:oasis:names:tc:dss:1.0:manifeststatus:Invalid.

```
1494 <xs:element name="VerifyManifestResults"  
1495 type="dss:VerifyManifestResultsType" />  
1496 <xs:complexType name="VerifyManifestResultsType">  
1497 <xs:sequence>  
1498 <xs:element ref="dss:ManifestResult" maxOccurs="unbounded" />  
1499 </xs:sequence>  
1500 </xs:complexType>  
1501  
1502 <xs:element name="ManifestResult">  
1503 <xs:complexType>  
1504 <xs:sequence>  
1505 <xs:element name="ReferenceXPath" type="xs:string" />  
1506 <xs:element name="Status" type="xs:anyURI" />  
1507 </xs:sequence>  
1508 </xs:complexType>  
1509 </xs:element>
```

1510 4.6.2 Optional Input <VerificationTime>

1511 This element instructs the server to attempt to determine the signature's validity at the specified
1512 time, instead of the current time.

1513 This optional input is allowed in multi-signature verification.

```
1514 <xs:element name="VerificationTime" type="xs:dateTime" />
```

1515 4.6.3 Optional Input <AdditionalKeyInfo>

1516 This element provides the server with additional data (such as certificates and CRLs) which it can
1517 use to validate the signing key.

1518 This optional input is not allowed in multi-signature verification.

```
1519 <xs:element name="AdditionalKeyInfo">  
1520 <xs:complexType>  
1521 <xs:sequence>  
1522 <xs:element ref="ds:KeyInfo" />  
1523 </xs:sequence>  
1524 </xs:complexType>  
1525 </xs:element>
```

1526 4.6.4 Optional Input <ReturnProcessingDetails> and Output 1527 <ProcessingDetails>

1528 The presence of the <ReturnProcessingDetails> optional input instructs the server to return
1529 a <ProcessingDetails> output.

1530 These options are not allowed in multi-signature verification.

```
1531 <xs:element name="ReturnProcessingDetails" />
```

1532 The <ProcessingDetails> optional output elaborates on what signature verification steps
1533 succeeded or failed. It may contain the following child elements:

1534 <ValidDetail> [Any Number]

1535 A verification detail that was evaluated and found to be valid.

1536 <IndeterminateDetail> [Any Number]

1537 A verification detail that could not be evaluated or was evaluated and returned an
1538 indeterminate result.

1539 <InvalidDetail> [Any Number]

1540 A verification detail that was evaluated and found to be invalid.

```
1541 <xs:element name="ProcessingDetails">
1542   <xs:complexType>
1543     <xs:sequence>
1544       <xs:element name="ValidDetail" type="dss:DetailType"
1545         minOccurs="0" maxOccurs="unbounded" />
1546       <xs:element name="IndeterminateDetail"
1547         type="dss:DetailType"
1548         minOccurs="0" maxOccurs="unbounded" />
1549       <xs:element name="InvalidDetail" type="xs:dss:DetailType"
1550         minOccurs="0" maxOccurs="unbounded" />
1551     </xs:sequence>
1552   </xs:complexType>
1553 </xs:element>
```

1554 Each detail element is of type `dss:DetailType`. A `dss:DetailType` contains the following
1555 child elements and attributes:

1556 `Type` [Required]

1557 A URI which identifies the detail. It may be a value defined by this specification, or a value
1558 defined by some other specification. For the values defined by this specification, see below.

1559 Multiple detail elements of the same `Type` may appear in a single <ProcessingDetails>. For
1560 example, when a signature contains a certificate chain that certifies the signing key, there may be
1561 details of the same `Type` present for each certificate in the chain, describing how each certificate
1562 was processed.

1563 <Code> [Optional]

1564 A URI which more precisely specifies why this detail is valid, invalid, or indeterminate. It must
1565 be a value defined by some other specification, since this specification defines no values for
1566 this element.

1567 <Message> [Optional]

1568 A human-readable message which MAY be logged, used for debugging, etc.

1569

```
1570 <xs:complexType name="DetailType">
1571   <xs:sequence>
1572     <xs:element name="Code" type="xs:anyURI" minOccurs="0" />
1573     <xs:element name="Message" type="InternationalStringType"
1574       minOccurs="0" />
1575     <xs:any processContents="lax" minOccurs="0"
1576       maxOccurs="unbounded" />

```

1577
1578
1579

```
</xs:sequence>  
<xs:attribute name="Type" type="xs:anyURI" use="required"/>  
</xs:element>
```

1580 The values for the Type attribute defined by this specification are the following:

1581 urn:oasis:names:tc:dss:1.0:detail:IssuerTrust

1582 Whether the issuer of trust information for the signing key (or one of the certifying keys) is
1583 considered to be trustworthy.

1584 urn:oasis:names:tc:dss:1.0:detail:RevocationStatus

1585 Whether the trust information for the signing key (or one of the certifying keys) is revoked.

1586 urn:oasis:names:tc:dss:1.0:detail:ValidityInterval

1587 Whether the trust information for the signing key (or one of the certifying keys) is within its
1588 validity interval.

1589 urn:oasis:names:tc:dss:1.0:detail:Signature

1590 Whether the document signature (or one of the certifying signatures) verifies correctly.

1591 urn:oasis:names:tc:dss:1.0:detail:Manifest

1592 Whether the manifests in the XML signature verified correctly.

1593 **4.6.5 Optional Input <ReturnSigningTime> and Output <SigningTime>**

1594 The presence of the <ReturnSigningTime> optional input instructs the server to return a
1595 <SigningTime> output. This output typically gives the client access to a time value carried
1596 within a signature attribute or a signature timestamp, or within a timestamp token if the signature
1597 itself is a timestamp (e.g. see section 5.1.1). If no such value is present, and the server has no
1598 other way of determining when the signature was performed, the server should omit the
1599 <SigningTime> output. If there are multiple such values present, behavior is profile-defined.

1600 These options are not allowed in multi-signature verification.

```
1601 <xs:element name="ReturnSigningTime" />
```

1602 The <SigningTime> optional output contains an indication of when the signature was
1603 performed, and a boolean attribute that indicates whether this value is attested to by a third-party
1604 timestamp authority (if true), or only by the signer (if false).

```
1605 <xs:element name="SigningTime">  
1606   <xs:complexType>  
1607     <xs:simpleContent>  
1608       <xs:extension base="xs:dateTime">  
1609         <xs:attribute name="ThirdPartyTimestamp"  
1610           type="xs:boolean" use="required"/>  
1611       </xs:extension>  
1612     </xs:simpleContent>  
1613   </xs:complexType>  
1614 </xs:element>
```

1615 **4.6.6 Optional Input <ReturnSignerIdentity> and Output** 1616 **<SignerIdentity>**

1617 The presence of the <ReturnSignerIdentity> optional input instructs the server to return a
1618 <SignerIdentity> output.

1619 This optional input and output are not allowed in multi-signature verification.

1620 `<xs:element name="ReturnSignerIdentity"/>`

1621 The <SignerIdentity> optional output contains an indication of who performed the signature.

1622 `<xs:element name="SignerIdentity" type="saml:NameIdentifierType"/>`

1623 **4.6.7 Optional Input <ReturnUpdatedSignature> and Output** 1624 **<UpdatedSignature>**

1625 The presence of the <ReturnUpdatedSignature> optional input instructs the server to return
1626 an <UpdatedSignature> output, containing a new or updated signature.

1627 The Type attribute on <ReturnUpdatedSignature>, if present, defines exactly what it means
1628 to "update" a signature. For example, the updated signature may be the original signature with
1629 some additional unsigned signature properties added to it (such as timestamps, counter-
1630 signatures, or additional information for use in verification), or the updated signature could be an
1631 entirely new signature calculated on the same input documents as the input signature. Profiles
1632 that use this optional input MUST define the allowed values and their semantics, and the default
1633 value, for the Type attribute (unless only a single type of updated signature is supported, in which
1634 case the Type attribute can be omitted).

1635 Multiple occurrences of this optional input can be present in a single verify request message. If
1636 multiple occurrences are present, each occurrence MUST have a different Type attribute. Each
1637 occurrence will generate a corresponding optional output. These optional outputs SHALL be
1638 distinguishable based on their Type attribute, which will match each output with an input.

1639 These options are not allowed in multi-signature verification.

1640 `<xs:element name="ReturnUpdatedSignature">`
1641 `<xs:complexType>`
1642 `<xs:attribute name="Type" type="xs:anyURI" use="optional"/>`
1643 `</xs:complexType>`
1644 `</xs:element>`

1645 The <UpdatedSignature> optional output contains the returned signature.

1646 `<xs:element name="UpdatedSignature">`
1647 `<xs:complexType>`
1648 `<xs:sequence>`
1649 `<xs:element ref="dss:SignatureObject">`
1650 `<xs:sequence>`
1651 `<xs:attribute name="Type" type="xs:anyURI" use="optional"/>`
1652 `</xs:complexType>`
1653 `</xs:element>`

1654 **4.6.8 Optional Input <ReturnTransformedDocument> and Output** 1655 **<TransformedDocument>**

1656 The <ReturnTransformedDocument> optional input instructs the server to return an input
1657 document to which the XML signature transforms specified by a particular <ds:Reference>
1658 have been applied. The <ds:Reference> is indicated by the zero-based WhichReference
1659 attribute (0 means the first <ds:Reference> in the signature, 1 means the second, and so on).
1660 Multiple occurrences of this optional input can be present in a single verify request message.
1661 Each occurrence will generate a corresponding optional output.

1662 These options are not allowed in multi-signature verification.

1663 `<xs:element name="ReturnTransformedDocument">`

1664
1665
1666
1667
1668

```
<xs:complexType>
  <xs:attribute name="WhichReference" type="xs:integer"
                use="required"/>
</xs:complexType>
</xs:element>
```

1669 The <TransformedDocument> optional output contains a document corresponding to the
1670 specified <ds:Reference>, after all the transforms in the reference have been applied. In other
1671 words, the hash value of the returned document should equal the <ds:Reference> element's
1672 <ds:DigestValue>. To match outputs to inputs, each <TransformedDocument> will contain
1673 a WhichReference attribute which matches the corresponding optional input.

1674
1675
1676
1677
1678
1679
1680
1681
1682

```
<xs:element name="TransformedDocument">
  <xs:complexType>
    <xs:sequence>
      <xs:element ref="dss:Document">
    </xs:sequence>
  </xs:complexType>
  <xs:attribute name="WhichReference" type="xs:integer"
                use="required"/>
</xs:element>
```

1683

5 DSS Core Elements

1684

1685 This section defines two XML elements that may be used in conjunction with the DSS core
1686 protocols.

5.1 Element <Timestamp>

1687

1688 This section defines an XML timestamp. A <Timestamp> contains some type of timestamp
1689 token, such as an RFC 3161 TimeStampToken [RFC 3161] or a <ds:Signature> (aka an
1690 "XML timestamp token"). Profiles may introduce additional types of timestamp tokens. XML
1691 timestamps can be produced and verified using the timestamping profile of the DSS core
1692 protocols [XML-TSP].

1693 An XML timestamp may contain:

1694 <ds:Signature> [Optional]

1695 This is an enveloping XML signature, as defined in section 5.1.1.

1696 <RFC3161TimeStampToken> [Optional]

1697 This is a base64-encoded TimeStampToken as defined in [RFC3161].

```
1698 <xs:element name="Timestamp">
1699   <xs:complexType>
1700     <xs:choice>
1701       <xs:element ref="ds:Signature" />
1702       <xs:element name="RFC3161TimeStampToken"
1703         type="xs:base64Binary" />
1704       <xs:element name="Other" type="AnyType" />
1705     </xs:choice>
1706   </xs:complexType>
1707 </xs:element>
```

5.1.1 XML Timestamp Token

1708

1709 An XML timestamp token is similar to an RFC 3161 TimeStampToken, but is encoded as a
1710 <TstInfo> element (see section 5.1.2) inside an enveloping <ds:Signature>. This allows
1711 conventional XML signature implementations to validate the signature, though additional
1712 processing is still required to validate the timestamp properties (see section 5.1.3).

1713 The following text describes how the child elements of the <ds:Signature> MUST be used:

1714 <ds:KeyInfo> [Required]

1715 The <ds:KeyInfo> element SHALL identify the issuer of the timestamp and MAY be
1716 used to locate, retrieve and validate the timestamp token signature-verification key. The
1717 exact details of this element may be specified further in a profile.

1718 <ds:SignedInfo>/<ds:Reference> [Required]

1719 There MUST be a single <ds:Reference> element whose URI attribute references the
1720 <ds:Object> containing the enveloped <TstInfo> element, and whose Type attribute
1721 is equal to urn:oasis:names:tc:dss:1.0:core:schema:XMLTimeStampToken.
1722 For every input document being timestamped, there MUST be a single
1723 <ds:Reference> element whose URI attribute references the document.

1724 <ds:Object> [Required]

1725 A <TstInfo> element SHALL be contained in a <ds:Object> element.

1726 5.1.2 Element <TstInfo>

1727 A <TstInfo> element is included in an XML timestamp token as a <ds:Signature> /
1728 <ds:Object> child element. A <TstInfo> element has the following children:

1729 <SerialNumber> [Required]

1730 This element SHALL contain a serial number produced by the timestamp authority (TSA).
1731 It MUST be unique across all the tokens issued by a particular TSA.

1732 <CreationTime> [Required]

1733 The time at which the token was issued.

1734 <Policy> [Optional]

1735 This element SHALL identify the policy under which the token was issued. The TSA's
1736 policy SHOULD identify the fundamental source of its time.

1737 <ErrorBound> [Optional]

1738 The TSA's estimate of the maximum error in its local clock.

1739 <Ordered> [Default="false"]

1740 This element SHALL indicate whether or not timestamps issued by this TSA, under this
1741 policy, are strictly ordered according to the value of the CreationTime element value.

1742 TSA [Optional]

1743 The name of the TSA.

```
1744 <xs:element name="TstInfo">  
1745   <xs:complexType>  
1746     <xs:sequence>  
1747       <xs:element name="SerialNumber" type="xs:integer"/>  
1748       <xs:element name="CreationTime" type="xs:dateTime"/>  
1749       <xs:element name="Policy" type="xs:anyURI" minOccurs="0"/>  
1750       <xs:element name="ErrorBound" type="xs:duration"  
1751         minOccurs="0"/>  
1752       <xs:element name="Ordered" type="xs:boolean"  
1753         default="false" minOccurs="0"/>  
1754       <xs:element name="TSA" type="saml:NameIdentifierType"  
1755         minOccurs="0"/>  
1756     </xs:sequence>  
1757   </xs:complexType>  
1758 </xs:element>
```

1759 5.1.3 Timestamp verification procedure

1760 If any one of these steps results in failure, then the timestamp token SHOULD be rejected.

- 1761 - Locate and verify the signature-verification key corresponding to the ds:KeyInfo/ element
1762 contents.
- 1763 - Verify that the signature-verification key is authorized for verifying timestamps.
- 1764 - Verify that the signature-verification key conforms to all relevant aspects of the relying-party's
1765 policy.
- 1766 - Verify that all digest and signature algorithms conform to the relying-party's policy.

- 1767 - Verify that the signature-verification key is consistent with the
1768 ds:SignedInfo/SignatureMethod/@Algorithm element value.
- 1769 - Verify that there is a single ds:SignedInfo/Reference element whose URI attribute
1770 references a <ds:Object> containing an enveloped <TstInfo> element.
- 1771 - Verify that each timestamped document is referenced by a single
1772 ds:SignedInfo/Reference element.
- 1773 - Verify that the tstInfo/Policy element value is acceptable.
- 1774 - Verify all digests and the signature.
- 1775 - If comparing the tstInfo/CreationTime element value to another time value, first verify
1776 that they differ by more than the error bound value.
- 1777 The rest of this section describes the processing rules for verifying a CMS RFC3161 timestamp
1778 token passed in on a Verify call within the <SignatureObject> of the <VerifyRequest>
1779 element. The timestamp will be either of two types, a "content timestamp" or a "signature
1780 timestamp". The verification process differs only in that the input to the digest calculation will
1781 differ for each type.
- 1782 In the case of a "content timestamp" taken over some arbitrary data, the hash to be compared
1783 against the MessageImprint in the timestamp token will be re-calculated from the additional
1784 data passed in by the caller as an <InputDocument>. Thus verification of "content timestamps"
1785 requires two inputs, the timestamp token and the original data that was time stamped. In the case
1786 of a "signature timestamp" taken over a CMS signature's signature value, the hash to be
1787 compared against the MessageImprint in the timestamp token will be re-calculated from the
1788 signature value. Since this timestamp is normally embedded in the signature as an
1789 unauthenticated or authenticated attribute, only the time stamped signature is required for
1790 verification processing.
- 1791 The processing by the server is separated into the following steps:
- 1792 1. If the timestamp is a signature timestamp embedded in the incoming signature as an
1793 unsigned attribute, extract the timestamp token and verify it cryptographically. Since it is by
1794 definition an enveloping signature over the TstInfo structure contained as its eContent, the
1795 token is itself a verifiable signature. If the timestamp is a standalone content timestamp, then
1796 simply verify it.
 - 1797 2. Verify that the timestamp token content type is "1.2.840.11359.1.9.16.1.4" indicating a
1798 timestamp token
 - 1799 3. Verify that the token's public verification certificate is authorized for time stamping by
1800 examining the Extended Key Usage field for the presence of the time stamping OID
1801 "1.3.6.1.5.5.7.3.8"
 - 1802 4. Validate that the TstInfo structure has a valid layout as per RFC3161
 - 1803 5. Extract the MessageImprint hash value and associated algorithm from the TstInfo
1804 structure which will be compared against the hash derived in the next step.
 - 1805 6. Recalculate the hash of the data that was originally time stamped. For a content timestamp,
1806 this data must be passed in as a separate InputDocument. For a signature timestamp, the
1807 input to the hash re-calculation must be the signature value of the enclosing signature.
 - 1808 7. Compare the hash values from the two previous steps, and if they are equivalent then this
1809 timestamp is valid for the data or signature that was time stamped.
 - 1810 8. Verify that the public verification certificate conforms to all relevant aspects of the relying-
1811 party's policy including algorithm usage, policy OIDs, time accuracy tolerances, and the
1812 Nonce value.

1813 9. Set the `dss:Result` element as appropriate reflecting the standardized error reporting as
1814 specified in RFC3161.
1815

1816 **5.2 Element <RequesterIdentity>**

1817 This section contains the definition of an XML Requester Identity element. This element can be
1818 used as a signature property in an XML signature to identify the client who requested the
1819 signature.

1820 This element has the following children:

1821 `Name` [Required]

1822 The name or role of the requester who requested the signature be performed.

1823 `SupportingInfo` [Optional]

1824 Information supporting the name (such as a SAML Assertion [**SAMLCORE1.1**], Liberty Alliance
1825 Authentication Context, or X.509 Certificate).

1826 The following schema fragment defines the `<RequesterIdentity>` element:

```
1827 <xs:element name="RequesterIdentity">  
1828   <xs:complexType>  
1829     <xs:sequence>  
1830       <xs:element name="Name" type="saml:NameIdentifierType"/>  
1831       <xs:element name="SupportingInfo" type="dss:AnyType"  
1832                 minOccurs="0"/>  
1833     </xs:sequence>  
1834   </xs:complexType>  
1835 </xs:element>
```

1836

6 DSS Core Bindings

1837 Mappings from DSS messages into standard communications protocols are called DSS *bindings*.
1838 *Transport bindings* specify how DSS messages are encoded and carried over some lower-level
1839 transport protocol. *Security bindings* specify how confidentiality, authentication, and integrity can
1840 be achieved for DSS messages in the context of some transport binding.
1841 Below we specify an initial set of bindings for DSS. Future bindings may be introduced by the
1842 OASIS DSS TC or by other parties.

1843

6.1 HTTP POST Transport Binding

1844 In this binding, the DSS request/response exchange occurs within an HTTP POST exchange
1845 [RFC 2616]. The following rules apply to the HTTP request:
1846 The client may send an HTTP/1.0 or HTTP/1.1 request.
1847 The Request URI may be used to indicate a particular service endpoint.
1848 The `Content-Type` header MUST be set to “application/xml”.
1849 The `Content-Length` header MUST be present and correct.
1850 The DSS request message MUST be sent in the body of the HTTP Request.
1851 The following rules apply to the HTTP Response:
1852 The `Content-Type` header MUST be set to “text/xml”.
1853 The `Content-Length` header MUST be present and correct.
1854 The DSS response message MUST be sent in the body of the HTTP Response.
1855 The HTTP status code MUST be set to 200 if a DSS response message is returned. Otherwise,
1856 the status code can be set to 3xx to indicate a redirection, 4xx to indicate a low-level client error
1857 (such as a malformed request), or 5xx to indicate a low-level server error.

1858

6.2 SOAP 1.2 Transport Binding

1859 In this binding, the DSS request/response exchange occurs using the SOAP 1.2 message
1860 protocol [SOAP]. The following rules apply to the SOAP request:
1861 A single DSS `<SignRequest>` or `<VerifyRequest>` element will be transmitted within the
1862 body of the SOAP message.
1863 The client MUST NOT include any additional XML elements in the SOAP body.
1864 The UTF-8 character encoding must be used for the SOAP message.
1865 Arbitrary SOAP headers may be present.
1866 The following rules apply to the SOAP response:
1867 The server MUST return either a single DSS `<SignResponse>` or `<VerifyResponse>` element
1868 within the body of the SOAP message, or a SOAP fault code.
1869 The server MUST NOT include any additional XML elements in the SOAP body.
1870 If a DSS server cannot parse a DSS request, or there is some error with the SOAP envelope, the
1871 server MUST return a SOAP fault code. Otherwise, a DSS result code should be used to signal
1872 errors.
1873 The UTF-8 character encoding must be used for the SOAP message.

1874 Arbitrary SOAP headers may be present.
1875 On receiving a DSS response in a SOAP message, the client MUST NOT send a fault code to the
1876 DSS server.

1877 **6.3 TLS Security Bindings**

1878 TLS [RFC 2246] is a session-security protocol that can provide confidentiality, authentication, and
1879 integrity to the HTTP POST transport binding, the SOAP 1.2 transport binding, or others. TLS
1880 supports a variety of authentication methods, so we define several security bindings below. All of
1881 these bindings inherit the following rules:

1882 TLS 1.0 MUST be supported. SSL 3.0 MAY be supported. Future versions of TLS MAY be
1883 supported.

1884 RSA ciphersuites MUST be supported. Diffie-Hellman and DSS ciphersuites MAY be supported.

1885 TripleDES ciphersuites MUST be supported. AES ciphersuites SHOULD be supported. Other
1886 ciphersuites MAY be supported, except for weak ciphersuites intended to meet export
1887 restrictions, which SHOULD NOT be supported.

1888 **6.3.1 TLS X.509 Server Authentication**

1889 The following ciphersuites defined in [RFC 2246] and [RFC 3268] are supported. The server
1890 MUST authenticate itself with an X.509 certificate chain [RFC 3280]. The server MUST NOT
1891 request client authentication.

1892 MUST:

1893 TLS_RSA_WITH_3DES_EDE_CBC_SHA

1894 SHOULD:

1895 TLS_RSA_WITH_AES_128_CBC_SHA

1896 TLS_RSA_WITH_AES_256_CBC_SHA

1897 **6.3.2 TLS X.509 Mutual Authentication**

1898 The same ciphersuites mentioned in section 6.2.1 are supported. The server MUST authenticate
1899 itself with an X.509 certificate chain, and MUST request client authentication. The client MUST
1900 authenticate itself with an X.509 certificate chain.

1901 **6.3.3 TLS SRP Authentication**

1902 SRP is a way of using a username and password to accomplish mutual authentication. The
1903 following ciphersuites defined in [draft-ietf-tls-srp-08] are supported.

1904 MUST:

1905 TLS_SRP_SHA_WITH_3DES_EDE_CBC_SHA

1906 SHOULD:

1907 TLS_SRP_SHA_WITH_AES_128_CBC_SHA

1908 TLS_SRP_SHA_WITH_AES_256_CBC_SHA

1909 **6.3.4 TLS SRP and X.509 Server Authentication**

1910 SRP can be combined with X.509 server authentication. The following ciphersuites defined in
1911 **[draft-ietf-tls-srp-08]** are supported.

1912 MUST:

1913 TLS_SRP_SHA_RSA_WITH_3DES_EDE_CBC_SHA

1914 SHOULD:

1915 TLS_SRP_SHA_RSA_WITH_AES_128_CBC_SHA

1916 TLS_SRP_SHA_RSA_WITH_AES_256_CBC_SHA

1917 **7 DSS-Defined Identifiers**

1918 The following sections define various URI-based identifiers. Where possible an existing URN is
1919 used to specify a protocol. In the case of IETF protocols the URN of the most current RFC that
1920 specifies the protocol is used (see [RFC 2648]). URI references created specifically for DSS
1921 have the following stem:

1922 urn:oasis:names:tc:dss:1.0:

1923 **7.1 Signature Type Identifiers**

1924 The following identifiers MAY be used as the content of the <SignatureType> optional input
1925 (see section 3.5.1).

1926 **7.1.1 XML Signature**

- 1927 • **URI:** urn:ietf:rfc:3275
- 1928 • This refers to an XML signature per [XMLSig].

1929 **7.1.2 XML TimeStampToken**

- 1930 • **URI:** urn:oasis:names:tc:dss:1.0:core:schema:XMLTimeStampToken
- 1931 • This refers to an XML timestamp containing an XML signature, per section 5.1.

1932 **7.1.3 RFC 3161 TimeStampToken**

- 1933 • **URI:** urn:ietf:rfc:3161
- 1934 • This refers to an XML timestamp containing an ASN.1 TimeStampToken, per [RFC
1935 3161].

1936 **7.1.4 CMS Signature**

- 1937 • **URI:** urn:ietf:rfc:3369
- 1938 • This refers to a CMS signature per [RFC 3369].

1939 **7.1.5 PGP Signature**

- 1940 • **URI:** urn:ietf:rfc:2440
- 1941 • This refers to a PGP signature per [RFC 2440].

8 Editorial Issues

1942

1943 Another way of handling the options is to have each option placed within an `<Option>` element.
1944 This has the advantage that each option could be tagged with a `mustUnderstand` attribute, so
1945 the server would know whether it was okay to ignore the option or not. It has the disadvantage of
1946 making things a little more verbose.

1947 **Resolution:** Leave as is, per 10/20/2003 meeting.

1948 It is suggested that the RequestID option be put in the top level of the protocol structure so that it
1949 can be used at the basic level of the DSS protocol handler.

1950 **Resolution:** This has been done, per 10/20/2003 meeting.

1951 The utility of the `<DocumentURI>` element has been questioned.

1952 **Resolution:** Since Rich, John, Trevor, and perhaps Andreas seem in favor of removing this, and
1953 only Gregor and Juan Carlos, and perhaps Nick, seem in favor of keeping it, it's been removed.

1954 Should every Output only be returned if the client requests it, through an Option?

1955 **Resolution:** No – Servers can return outputs on their own initiative, per 11/3/2003 meeting.

1956 Should Signature Placement, and elements to envelope, be made Signature Options?

1957 **Resolution:** Yes – per 11/3/2003 meeting, but hasn't been done yet.

1958 Should `<Options>` be renamed? To `<AdditionalInputs>`, `<Inputs>`, `<Parameters>`, or something
1959 else?

1960 **Resolution:** Yes - `<OptionalInputs>` and `<OptionalOutputs>`

1961 Should we adopt a Timestamp more like Dimitri's `<Tst>`?

1962 **Resolution:** No – instead add a `<dss:Timestamp>` element, per Nick's suggestion on list

1963 The `<ProcessingDetails>` are a little sketchy, these could be fleshed out.

1964 **Resolution:** Done – per draft 10, based on list discussions.

1965 A `<dss:SignatureObject>` can contain a `<dss:SignaturePtr>`, which uses an XPath expression to
1966 point to a signature. This allows a client to send an `<InputDocument>` to the server with an
1967 embedded signature, and just point to the signature, without copying it. Is it acceptable to require
1968 all servers to support XPath, for this?

1969 **Resolution:** This is not only allowed but required when sending enveloped signatures to the
1970 server, so the server knows how to apply the enveloped signature transform. This is disallowed
1971 when the server returns signatures to the client, cause the bandwidth savings aren't worth the
1972 complexity.

1973 **NOTE:** This document may be updated as we work on DSS profiles. In particular, we may add
1974 additional Signature Types, Timestamp Types, and Updated Signature Types to section 6. We
1975 may also add additional optional inputs and outputs, if commonality is discovered across multiple
1976 profiles.

1977 Should `<ServicePolicy>` be made a permanent part of the protocols? (i.e. *not* an optional input?)

1978 **Resolution:** Yes, added to the Request in wd-13.

1979 Should we use URLs or URNs for our schema namespace URI?

1980 **Resolution:** URL (in draft 17)

1981 Should we add a WSS Security Binding?

1982 **Resolution:** not now

- 1983 Should we add some way for an external policy authority to vouch for some portion of a request?
- 1984 **Resolution:** not in the core
- 1985 Should RequestID be removed?
- 1986 Resolution: No.
- 1987 Should input documents have a RefId attribute?
- 1988 Resolution: No.
- 1989 Should <SignaturePtr> be optional when there's only 1 input doc, with 1 signature?
- 1990 Resolution: Yes.
- 1991 Should the server return the <Profile> it used?
- 1992 Resolution: Yes.
- 1993 Further Issues discussed and resolved are to be found in the latest revision of the Comments
- 1994 Tracking Document (oasis-dss-1.0-comments-track-wd-##).
- 1995 **Resolution:** Not applicable.

1996

9 References

1997

9.1 Normative

- 1998 [Core-XSD] S. Drees, T. Perrin, JC Cruellas, N Pope, K Lanz, et al. *DSS Schema*. OASIS, November 2005.
- 1999
- 2000 [RFC 2119] S. Bradner. Key words for use in RFCs to Indicate Requirement Levels. IETF RFC 2396, August 1998.
- 2001
- 2002 <http://www.ietf.org/rfc/rfc2396.txt>.
- 2003 [RFC 2246] T Dierks, C. Allen. *The TLS Protocol Version 1.0*. IETF RFC 2246, January 1999.
- 2004
- 2005 <http://www.ietf.org/rfc/rfc2246.txt>.
- 2006 [RFC 2396] T. Berners-Lee et al. *Uniform Resource Identifiers (URI): Generic Syntax*. IETF RFC 2396, August 1998.
- 2007
- 2008 <http://www.ietf.org/rfc/rfc2396.txt>.
- 2009 [RFC 2440] J. Callas, L. Donnerhacke, H. Finney, R. Thayer. *OpenPGP Message Format*. IETF RFC 2440, November 1998.
- 2010
- 2011 <http://www.ietf.org/rfc/rfc2440.txt>.
- 2012 [RFC 2616] R. Fielding et al. *Hypertext Transfer Protocol – HTTP/1.1*. IETF RFC 2616, June 1999.
- 2013
- 2014 <http://www.ietf.org/rfc/rfc2616.txt>.
- 2015 [RFC 2648] R. Moats. *A URN Namespace for IETF Documents*. IETF RFC 2648, August 1999.
- 2016
- 2017 <http://www.ietf.org/rfc/rfc2648.txt>.
- 2018 [RFC 2822] P. Resnick. *Internet Message Format*. IETF RFC 2822, April 2001.
- 2019 <http://www.ietf.org/rfc/rfc2822.txt>
- 2020 [RFC 3161] C. Adams, P. Cain, D. Pinkas, R. Zuccherato. *Internet X.509 Public Key Infrastructure Time-Stamp Protocol (TSP)*. IETF RFC 3161, August 2001.
- 2021
- 2022 <http://www.ietf.org/rfc/rfc3161.txt>.
- 2023 [RFC 3268] P. Chown. *AES Ciphersuites for TLS*. IETF RFC 3268, June 2002.
- 2024 <http://www.ietf.org/rfc/rfc3268.txt>.
- 2025 [RFC 3280] R. Housley, W. Polk, W. Ford, D. Solo. Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile. IETF RFC 3280, April 2002.
- 2026
- 2027 <http://www.ietf.org/rfc/rfc3280.txt>.
- 2028 [RFC 3852] R. Housley. *Cryptographic Message Syntax*. IETF RFC 3852, July 2004.
- 2029 <http://www.ietf.org/rfc/rfc3852.txt>.
- 2030 [SAMLCore1.1] E. Maler et al. Assertions and Protocol for the OASIS Security Assertion Markup Language (SAML) V 1.1. OASIS, November 2002.
- 2031
- 2032 <http://www.oasis-open.org/committees/download.php/3406/oasis-sstc-saml-core-1.1.pdf>
- 2033 [Schema1] H. S. Thompson et al. *XML Schema Part 1: Structures*. W3C Recommendation, May 2001.
- 2034
- 2035 <http://www.w3.org/TR/xmlschema-1/>

2036 **[SOAP]** M. Gudgin et al. *SOAP Version 1.2 Part 1: Messaging Framework*. W3C
2037 Recommendation, June 2003.
2038 <http://www.w3.org/TR/xmlschema-1/>
2039 **[XML-C14N]** J. Boyer. *Canonical XML Version 1.0*. W3C Recommendation, March 2001.
2040 <http://www.w3.org/TR/xml-c14n>
2041 **[XML-ESCAPE]** Tim Bray, Jean Paoli, C. M. Sperberg-McQueen, et al. *Predefined*
2042 *Entities in Extensible Markup Language (XML) 1.0 (Third Edition)*, W3C Recommendation, 04
2043 February 2004,
2044 <http://www.w3.org/TR/REC-xml/#dt-escape>
2045 **[XML-ns]** T. Bray, D. Hollander, A. Layman. *Namespaces in XML*. W3C
2046 Recommendation, January 1999.
2047 <http://www.w3.org/TR/1999/REC-xml-names-19990114>
2048 **[XML-NT-Document]** <http://www.w3.org/TR/2004/REC-xml-20040204/#NT-document>
2049 **[XML-PROLOG]** Tim Bray, Jean Paoli, C. M. Sperberg-McQueen, et al. *Prolog and*
2050 *Document Type Declaration in Extensible Markup Language (XML) 1.0 (Third Edition)*, W3C
2051 Recommendation, 04 February 2004, <http://www.w3.org/TR/REC-xml/#sec-prolog-dtd>
2052 **[XMLSig]** D. Eastlake et al. *XML-Signature Syntax and Processing*. W3C
2053 Recommendation, February 2002.
2054 <http://www.w3.org/TR/2002/REC-xmlsig-core-20020212/>
2055 **[XML-TSP]** T. Perrin et al. *XML Timestamping Profile of the OASIS Digital Signature*
2056 *Services*. W3C Recommendation, February 2002. OASIS, **(MONTH/YEAR TBD)**
2057
2058 **[XML]** Extensible Markup Language (XML) 1.0 (Third Edition). W3C Recommendation 04
2059 February 2004 <http://www.w3.org/TR/REC-xml/#sec-element-content>
2060 **[XPath]** XML Path Language (XPath) Version 1.0. W3C Recommendation 16 November 1999
2061 <http://www.w3.org/TR/xpath>
2062
2063 **[XML-xcl-c14n]** Exclusive XML Canonicalization Version 1.0. W3C Recommendation 18 July
2064 2002 <http://www.w3.org/TR/2002/REC-xml-exc-c14n-20020718/>
2065
2066
2067
2068
2069
2070

2071

Appendix A. Use of Exclusive Canonicalization

2072 Exclusive Canonicalization of dereferenced and transformed data can be achieved by appending
2073 exclusive canonicalization as the last transform in the `<ds:Transforms>` element of
2074 `<TransformedData>` or `<DocumentHash>`.

2075 In the case of `<Document>` being used this can be done by adding exclusive canonicalization as
2076 the last transform in the `<ds:Transforms>` of a `<SignedReference>` pointing to that
2077 `<Document>`.

2078

2079 By doing this the resulting data produced by the chain of transforms will always be octet stream
2080 data which will be hashed without further processing on a `<ds:Reference>` level by the server
2081 as indicated by basic processing section 3.3.1 step 1 b. and c.

2082

2083 Another possibility to apply exclusive canonicalization on `<ds:Reference>` level is the freedom
2084 given to servers to apply additional transforms to increase robustness. This however implies that
2085 only trustworthy transformations are appended by a server.

2086

2087 As in section 3.3.1 step 1 b an implementation can choose to use exclusive canonicalization: "...
2088 Transforms are applied as a server implementation MAY choose to increase robustness of the
2089 Signatures created. These Transforms may reflect idiosyncrasies of different parsers or solve
2090 encoding issues or the like. ..."

2091 In such a case that the exclusive canonicalization is to be included in the `<ds:Transforms>` as
2092 well (cf. section 3.3.1 step 1.d.v.)

2093

2094 The standards default is however in line with [XMLSig] as indicated in the Note in section 3.3.1
2095 step 1 b.

2096

2097 However after the server formed a `<ds:SignedInfo>` (section 3.3.1 step 3.) this information to
2098 be signed also needs to be canonicalized and digested, here [XMLSig] offers the necessary
2099 element `<ds:CanonicalizationMethod>` directly and can be used to specify exclusive
2100 canonicalization.

2101

Appendix B. More Complex <Response> Example

2102

To further explain the use of the <Response> element which is useful in cases where the DSS server is not able to respond with a special response type a more complex example is given in the following paragraph.

2103

2104

2105

E.g. a client sends a <SignRequest> to a service that only supports <VerifyRequest>'s over plain HTTP (as opposed to protocols where some information could be derived from the header).

2106

2107

As the service does not support <SignRequest>'s it has to either generate a

2108

<VerifyResponse> with a "bad message" result or fail at the HTTP layer. In the former case,

2109

the client will receive a response that does not correspond semantically to the request - it got a

2110

<VerifyResponse> to a <SignRequest>. This leaves both parties thinking that the other one

2111

is at fault.

2112

Appendix C. Revision History

Rev	Date	By Whom	What
wd-01	2003-10-03	Trevor Perrin	Initial version
wd-02	2003-10-13	Trevor Perrin	Skeleton of verify as well
wd-03	2003-10-19	Trevor Perrin	Added TimeStampToken, References
wd-04	2003-10-29	Trevor Perrin	Fleshed things out
wd-05	2003-11-9	Trevor Perrin	Added Name, clarified options-handling
wd-06	2003-11-12	Trevor Perrin	Added more options/outputs
wd-07	2003-11-25	Trevor Perrin	URNs, <Timestamp>, other changes.
Wd-08	2003-12-6	Trevor Perrin	Many suggestions from Juan Carlos, Frederick, and Nick incorporated.
Wd-09	2004-1-6	Trevor Perrin	A few minor tweaks to fix a typo, add clarity, and change the order of SignResponse's children
wd-10	2004-1-20	Trevor Perrin	Organized references, updated processing details, touched up a few things.

Rev	Date	By Whom	What
Wd-11	2004-2-04	Trevor Perrin	Added transport and security bindings, and <Language> optional input
wd-12	2004-2-12	Trevor Perrin	Editorial suggestions from Frederick
wd-13	2004-2-29	Trevor Perrin	Added SOAP Transport binding, and made 'Profile' attribute part of the Request messages, instead of an option.
Wd-14	2004-3-07	Trevor Perrin	Fixes from Krishna
wd-15	2004-3-08	Trevor Perrin	Property URI -> QNames, added some Editorial issues
wd-16	2004-3-21	Trevor Perrin	Replaced dss:NameType with saml:NameIdentifierType, per Nick's suggestion.
Wd-17	2004-4-02	Trevor Perrin	Schema URN -> URL, TryAgainLater
wd-18	2004-4-04	Trevor Perrin	Fixes from Karel Wouters
wd-19	2004-4-15	Trevor Perrin	ResultMajor URIs, AdditionalProfile
wd-20	2004-4-19	Trevor Perrin	Updated <Timestamp>, few tweaks
wd-21	2004-5-11	Trevor Perrin	CMS, special handling of enveloping/enveloped DSIG, multi-signature DSIG verification.
Wd-23	2004-6-08	Trevor Perrin	Added DTD example, added returned Profile attribute on SignResponse and VerifyResponse.
Wd-24	2004-6-20	Trevor Perrin	Removed xmlns:xml from schema.
Wd-25	2004-6-22	Trevor Perrin	Fixed a typo.
Wd-26	2004-6-28	Trevor Perrin	Mentioned as committee draft
wd-27	200410-04	Trevor Perrin	Gregor Karlinger's feedback
wd-28	200410-18	Trevor Perrin	Added a little text to clarify manifests and <ReturnSigningTime>
wd-29	200411-01	Trevor Perrin	Added a little text to clarify <ReturnUpdatedSignature>, and added

Rev	Date	By Whom	What
			<SupportingInfo> to <ClaimedIdentity>
wd-30	20041113	Trevor Perrin	-
wd-31	20050627	Stefan Drees	Added all resolved issues from oasis-dss-1.0-comments-track-wd-03
wd-32	20050629	Stefan Drees	Synchronized with Schema, clarified ambiguity issues in Basic Processing for CMS Signatures and Transforms.
wd-33	20050715	Stefan Drees	Added Feedback from mailing list and telco 20050708. Introduced <InlineXMLType>. Simplified basic processing.
wd-34	20051021	Stefan Drees	Added Feedback from discussions of technical committee members from 20050808 through 20051020: <ul style="list-style-type: none"> - Structural changes (optional inputs etc.), - new basic processing, - consistent handling of XPath and - editorial changes/fixes. Preparation for cd-34 candidate: <ul style="list-style-type: none"> - Schema element - Canonicalization - Manifest validation.
Wd-35	20051124	Stefan Drees	PreCD-Version (WD-35) adapting the CD-balloting comments and following e-mail discussions. Added basic time stamping support.

2114

Appendix D. Notices

2115 OASIS takes no position regarding the validity or scope of any intellectual property or other rights
2116 that might be claimed to pertain to the implementation or use of the technology described in this
2117 document or the extent to which any license under such rights might or might not be available;
2118 neither does it represent that it has made any effort to identify any such rights. Information on
2119 OASIS's procedures with respect to rights in OASIS specifications can be found at the OASIS
2120 website. Copies of claims of rights made available for publication and any assurances of licenses
2121 to be made available, or the result of an attempt made to obtain a general license or permission
2122 for the use of such proprietary rights by implementors or users of this specification, can be
2123 obtained from the OASIS Executive Director.

2124 OASIS invites any interested party to bring to its attention any copyrights, patents or patent
2125 applications, or other proprietary rights which may cover technology that may be required to
2126 implement this specification. Please address the information to the OASIS Executive Director.

2127 Copyright © OASIS Open 2003. *All Rights Reserved.*

2128 This document and translations of it may be copied and furnished to others, and derivative works
2129 that comment on or otherwise explain it or assist in its implementation may be prepared, copied,
2130 published and distributed, in whole or in part, without restriction of any kind, provided that the
2131 above copyright notice and this paragraph are included on all such copies and derivative works.
2132 However, this document itself does not be modified in any way, such as by removing the
2133 copyright notice or references to OASIS, except as needed for the purpose of developing OASIS
2134 specifications, in which case the procedures for copyrights defined in the OASIS Intellectual
2135 Property Rights document must be followed, or as required to translate it into languages other
2136 than English.

2137 The limited permissions granted above are perpetual and will not be revoked by OASIS or its
2138 successors or assigns.

2139 This document and the information contained herein is provided on an "AS IS" basis and OASIS
2140 DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO
2141 ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE
2142 ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A
2143 PARTICULAR PURPOSE.